

Exhibit 300: Capital Asset Plan and Business Case Summary**Part I: Summary Information And Justification (All Capital Assets)****Section A: Overview (All Capital Assets)**

- | | |
|--|---|
| 1. Date of Submission: | 1/7/2008 |
| 2. Agency: | Department of Commerce |
| 3. Bureau: | Department Wide |
| 4. Name of this Capital Asset: | Department of Commerce Consolidated IT Infrastructure |
| 5. Unique Project (Investment) Identifier: (For IT investment only, see section 53. For all other, use agency ID system.) | 006-03-02-00-01-0511-00 |
| 6. What kind of investment will this be in FY2009? (Please NOTE: Investments moving to O&M in FY2009, with Planning/Acquisition activities prior to FY2009 should not select O&M. These investments should indicate their current status.) | Mixed Life Cycle |
| 7. What was the first budget year this investment was submitted to OMB? | FY2001 or earlier |
| 8. Provide a brief summary and justification for this investment, including a brief description of how this closes in part or in whole an identified agency performance gap: | |
- This investment reflects the Department CIO's strategy to more effectively and efficiently manage the Department's IT infrastructure. It continues a Departmental program for the management of IT infrastructure, formed on a principle-based IT Infrastructure Management Framework that will ensure progress towards our vision for Commerce. Our vision of the Commerce IT infrastructure capability is that it is: "mission driven, managed, visible, appropriate, balanced, aligned, and integrated with mission and technical management strategic direction, governance structures and processes."
- Since 2003, and consistent with the OMB IT Infrastructure LOB (ITILOB), formerly the Infrastructure Optimization Initiative (IOI), the Department has refined its IT Infrastructure Management Framework, evolving our ability to manage and account for our infrastructure in increasing levels of detail. We developed four clusters (i.e., office automation, networks, telecommunications and IT security). For the FY06 and FY07 Budget Cycle, we expanded the clusters to five and added sub-clusters to provide more delineation for the services associated with this model. For the FY08 Budget cycle, we adjusted both to show transparent alignment with the three IOI commodity infrastructure areas. The Department's clusters are: Desktop (Seat Management), IT Help Desk, DataCenter, Telecom (Voice Networks), and Telecom (Data Networks). Within each cluster, we developed standard sub-clusters: Hardware, Software, Contract Services, IT Security, Facilities and Other. IT Security cuts across all of our clusters. FY10 we will revise the clusters for the ITILOB data collection.
- The Department must have an IT infrastructure capability that ensures our ability to serve the public and our partner agencies successfully, both as a more than \$7.8 billion, world-wide enterprise and as an integrated set of individual programs. The Department's 14 operating units, each with various missions, goals, products, customers, processes and suppliers are united in their focus on the economic well being of the Nation and its citizens. Together, they promote job creation, economic growth, sustainable development and improved living standards for all Americans by working in partnership with business, universities, communities and workers. The Department will continue to review its operating units' progress toward the consolidation, standardization, and increased integration of the DOC IT infrastructure
- | | |
|---|-----------|
| 9. Did the Agency's Executive/Investment Committee approve this request? | Yes |
| a. If "yes," what was the date of this approval? | 5/31/2006 |
| 10. Did the Project Manager review this Exhibit? | Yes |
| a. What is the current FAC-P/PM certification level of the project/program manager? | TBD |
| 12. Has the agency developed and/or promoted cost effective, energy-efficient and environmentally sustainable techniques or practices for this project? | Yes |
| a. Will this investment include electronic assets (including computers)? | Yes |
| b. Is this investment for new construction or major retrofit of a Federal building or facility? (answer applicable to non-IT assets only) | No |

1. If "yes," is an ESPC or UESC being used to help fund this investment?

2. If "yes," will this investment meet sustainable design principles?

3. If "yes," is it designed to be 30% more energy efficient than relevant code?

13. Does this investment directly support one of the PMA initiatives? Yes

If "yes," check all that apply: Expanded E-Government

a. Briefly and specifically describe for each selected how this asset directly supports the identified initiative(s) (e.g. If E-Gov is selected, is it an approved shared service provider or the managing partner?) Consistent with the OMB IOI, this investment seeks to optimize the Department's service levels and associated cost of the infrastructure to support e-government.

14. Does this investment support a program assessed using the Program Assessment Rating Tool (PART)? (For more information about the PART, visit www.whitehouse.gov/omb/part.) No

a. If "yes," does this investment address a weakness found during a PART review? No

b. If "yes," what is the name of the PARTed program?

c. If "yes," what rating did the PART receive?

15. Is this investment for information technology? Yes

If the answer to Question 15 is "Yes," complete questions 16-23 below. If the answer is "No," do not answer questions 16-23.

For information technology investments only:

16. What is the level of the IT Project? (per CIO Council PM Guidance) Level 3

17. What project management qualifications does the Project Manager have? (per CIO Council PM Guidance) (1) Project manager has been validated as qualified for this investment

18. Is this investment or any project(s) within this investment identified as "high risk" on the Q4 - FY 2007 agency high risk report (per OMB Memorandum M-05-23) No

19. Is this a financial management system? No

a. If "yes," does this investment address a FFIA compliance area? No

1. If "yes," which compliance area:

2. If "no," what does it address?

b. If "yes," please identify the system name(s) and system acronym(s) as reported in the most recent financial systems inventory update required by Circular A-11 section 52

20. What is the percentage breakout for the total FY2009 funding request for the following? (This should total 100%)

Hardware	12
Software	6
Services	38
Other	44

21. If this project produces information dissemination products for the public, are these products published to the Internet in conformance with OMB Memorandum 05-04 and included in your agency inventory, schedules and priorities? N/A

22. Contact information of individual responsible for privacy related questions:

E-mail drooney@doc.gov

23. Are the records produced by this investment appropriately scheduled with the National Archives and Records Administration's approval? Yes

Question 24 must be answered by all Investments:

24. Does this investment directly support one of the GAO High Risk Areas? No

Section B: Summary of Spending (All Capital Assets)

1. Provide the total estimated life-cycle cost for this investment by completing the following table. All amounts represent budget authority in millions, and are rounded to three decimal places. Federal personnel costs should be included only in the row designated "Government FTE Cost," and should be excluded from the amounts shown for "Planning," "Full Acquisition," and "Operation/Maintenance." The "TOTAL" estimated annual cost of the investment is the sum of costs for "Planning," "Full Acquisition," and "Operation/Maintenance." For Federal buildings and facilities, life-cycle costs should include long term energy, environmental, decommissioning, and/or restoration costs. The costs associated with the entire life-cycle of the investment should be included in this report.

	PY-1 and earlier	PY 2007	CY 2008	BY 2009
Planning:	0.246	0	0	0
Acquisition:	1.32	11.84921	0	0
Subtotal Planning & Acquisition:	1.566	11.84921	0	0
Operations & Maintenance:	687.173441	290.578463	303.372403	314.881773
TOTAL:	688.739441	302.427673	303.372403	314.881773
Government FTE Costs	249.922995	116.669168	121.306668	129.481233
Number of FTE represented by Costs:	2333	1192	1202	1218

2. Will this project require the agency to hire additional FTE's? No

a. If "yes," How many and in what year?

3. If the summary of spending has changed from the FY2008 President's budget request, briefly explain those changes:

Changes are part of Departmental ongoing efforts to support the Office of Management and Budget (OMB) IT Infrastructure Line of Business (ITILOB), formerly the Infrastructure Optimization Initiative (IOI).

Activities within the Department's IT infrastructure include email consolidation. The Department has started the transition to a single email platform and email naming convention. The Office of the Secretary and selected Operating Units successfully transitioned during 3Q FY2007.

Further changes reflect Department of Commerce continued adjustments to be consistent with the the Common Solutions document, part of the ITILOB business case It provided definitions of areas that are to be included in IT infrastructure. The definition of what comprises IT infrastructure is being refined by the ITILOB Executive Steering Committee, comprised of Federal CIOs and OMB.

Section C: Acquisition/Contract Strategy (All Capital Assets)

1. Complete the table for all (including all non-Federal) contracts and/or task orders currently in place or planned for this investment. Total Value should include all option years for each contract. Contracts and/or task orders completed do not need to be included.

Exhibit 300: Department of Commerce Consolidated IT Infrastructure (Revision 14)

* Costs in millions															
Contract or Task Order Number	Type of Contract/ Task Order	Has the contract been awarded (Y/N)	If so what is the date of the award? If not, what is the planned award date?	Start date of Contract/ Task Order	End date of Contract/ Task Order	Total Value of Contract/ Task Order (\$M)	Is this an Interagency Acquisition ? (Y/N)	Is it performance based? (Y/N)	Competitively awarded? (Y/N)	What, if any, alternative financing option is being used? (ESPC, UESC, EUL, N/A)	Is EVM in the contract? (Y/N)	Does the contract include the required security & privacy clauses? (Y/N)	CO Contact information (phone/email)	Contracting Officer Certification Level (Level 1,2,3,N/A)	If N/A, has the agency determined the CO assigned has the competencies and skills necessary to support this acquisition ? (Y/N)
Contract SA1301-04-NC-0084	Fixed Price	Yes	6/1/2006	6/1/2006	6/1/2009	0.8	Yes	Yes	Yes	NA	No	Yes	jhanicek@doc.gov	Level 3	
DG135107CT0014 (General Dynamics)	CPFF	Yes	3/9/2007	3/9/2007	3/8/2009	6.564	No	Yes	Yes	NA	No	Yes	Marilyn.Whalley@noaa.gov	Level N/A	Yes
YA132304NC0738	FFP	Yes	7/27/2004	8/1/2004	9/30/2009	4.25903	No	No	Yes	NA	No	Yes	william.h.russell@census.gov	Level 3	
YA132303NC0317	FFP	Yes	5/21/2003	5/21/2003	5/21/2013	35	No	No	No	NA	No	Yes	william.h.russell@census.gov	Level 3	
YA-1323-05-NC-0995	Time and Materials	Yes	2/28/2006	10/1/2005	9/30/2009	0.94606	No	No	Yes	NA	No	Yes	william.h.russell@census.gov	Level 3	
CSvD - HP Contractors Unix/Linux	Time and Materials	Yes	9/1/2006	9/1/2006	8/31/2011	0.53011	No	No	Yes	NA	No	Yes	william.h.russell@census.gov	Level 3	
YA-1323-07-CN-0024	Fixed Price	Yes	1/24/2007	6/1/2006	7/31/2011	0.661	No	No	Yes	NA	No	Yes	valeria.f.baker@census.gov	Level 3	
GS35F0170K	FFP	Yes	12/30/2004	1/1/2005	12/31/2009	27	No	Yes	No	NA	No	Yes	william.h.russell@census.gov	Level 3	
GS35F5086H	FFP	Yes	5/1/2002	5/2/2002	9/30/2008	4.5	No	Yes	Yes	NA	No	Yes	william.h.russell@census.gov	Level 3	
Corel	Software License Renewal	Yes	4/15/2007	5/15/2007	4/15/2009	0.282221	No	No	Yes	NA	No	Yes	mark.emory.markovic@census.gov	Level 3	
YA13207NC0109	Lease to Own	Yes	7/15/2004	8/1/2004	9/30/2009	4.259	No	No	Yes	NA	No	Yes	william.h.russell@census.gov	Level 3	
YA132305CN0007	Time and Materials	Yes	1/31/2005	2/1/2005	9/30/2009	2.62	No	Yes	Yes	NA	No	Yes	301-763-1804 / william.h.russell@census.gov	Level 3	

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PO # 07-NC-0214	FFP	Yes	3/15/2006	3/15/2006	3/15/2008	0.113	No	No	Yes	NA	No	Yes	michael.i.palensky@census.gov	Level 3	
PO YA 1323-040CQ-0002	Time & Materials	Yes	9/10/2004	9/10/2004	9/15/2009	6	No	Yes	Yes	NA	No	Yes	william.h.russell@census.gov	Level 3	
YA-1323-04-CN-0018	Time and Materials	Yes	8/15/2004	8/15/2004	9/15/2009	3	No	No	No	NA	No	Yes	william.h.russell@census.gov	Level 3	
YA 132306-CQ-0010	IDIQ	Yes	9/15/2006	9/15/2006	9/15/2011	3	No	No	No	NA	No	Yes	albert.ernest.kennedy@census.gov	Level 3	
YA1323-060NC-0673	Services, fixed Price/T&M Quotient	Yes	7/15/2006	7/15/2006	4/15/2012	6.5	No	Yes	Yes	NA	No	Yes	pamela.a.miller@census.gov	Level 3	
DG132506NC0143	Firm Fixed Price	Yes	11/1/2005	11/1/2005	11/30/2008	3	No	Yes	No	NA	No	Yes	morie.gunter-henderson@noaa.gov	Level 2	
GS35F4670G (Order #DG135006NC0645)	Firm Fixed Price	Yes	3/14/2006	3/20/2006	3/19/2009	2.66599	Yes	Yes	Yes	NA	Yes	Yes	morie.gunter-henderson@noaa.gov	Level 3	
GS35F0045K (Order # DG135006NC1006)	Firm Fixed Price	Yes	6/1/2006	6/1/2006	3/20/2008	9.81531	Yes	Yes	Yes	NA	Yes	Yes	morie.gunter-henderson@noaa.gov	Level 3	
DG135006CN0319	Labor Hour	Yes	9/29/2006	10/1/2006	4/1/2009	2.99864	Yes	Yes	Yes	NA	Yes	Yes	morie.gunter-henderson@noaa.gov	Level 3	
DG135006CT0097	Blanket Purchase Agreement/Indefinite Delivery Indefinite Quantity/Firm Fixed Price/Labor Hour	Yes	9/5/2006	5/6/2006	4/1/2009	0.892	Yes	Yes	Yes	NA	Yes	Yes	morie.gunter-henderson@noaa.gov	Level 3	

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GS06F0337Z	Firm Fixed-Price	Yes	6/15/2006	7/1/2006	1/31/2007	0.86	No	No	Yes	NA	Yes	Yes	Joel.L.Perroth@noaa.gov	Level 3	
GS35F0305N	Firm Fixed Price	Yes	1/30/2006	1/30/2006	6/30/2006	0.8	No	No	Yes	NA	Yes	Yes	Joel.L.Perroth@noaa.gov	Level 3	
DG1352-07-CN-0037	Firm Fixed Price	Yes	1/8/2007	2/1/2007	1/31/2008	0.829	No	No	Yes	NA	Yes	Yes	morie.gunter-henderson@noaa.gov	Level 3	
SB13410680433	Labor-Hour	Yes	7/21/2006	7/24/2006	7/22/2007	0.375	No	No	No	NA	No	Yes	joseph.widdup@nist.gov	Level 3	
SB13410680551	Time-and-Materials	Yes	8/21/2006	8/21/2006	8/20/2007	0.775	No	No	No	NA	No	Yes	joseph.widdup@nist.gov	Level 3	
SB134107AE0002	Firm-Fixed-Price	Yes	6/21/2007	6/21/2007	8/31/2007	0.35	No	No	No	NA	No	No	joseph.widdup@nist.gov	Level 3	
SB134106N1214	Firm-Fixed-Price	Yes	8/26/2006	8/26/2006	9/30/2007	0.145	No	No	Yes	NA	No	Yes	joseph.widdup@nist.gov	Level 3	
SB13410680278	Labor-Hour	Yes	5/5/2006	5/8/2006	9/30/2007	0.423	No	Yes	No	NA	No	No	joseph.widdup@nist.gov	Level 3	
SB13410580612	Firm-Fixed-Price	Yes	9/12/2005	9/12/2005	10/14/2007	0.281	No	No	Yes	NA	No	No	joseph.widdup@nist.gov	Level 3	
SB13410480615	Firm-Fixed-Price	Yes	9/23/2004	9/23/2004	11/29/2007	0.644	No	No	Yes	NA	No	No	joseph.widdup@nist.gov	Level 3	
SB134107NC0082	Firm-Fixed-Price	Yes	12/19/2006	12/19/2006	12/29/2007	0.113	No	No	Yes	NA	No	No	joseph.widdup@nist.gov	Level 3	
SB134106Z001/67017	Firm-Fixed-Price	Yes	12/22/2006	12/22/2006	12/31/2007	0.2	No	No	Yes	NA	No	No	joseph.widdup@nist.gov	Level 3	
SB134107NC0095	Firm-Fixed-Price	Yes	1/3/2007	1/1/2007	12/31/2007	0.629	No	No	No	NA	No	Yes	joseph.widdup@nist.gov	Level 3	
SB134107BR0001/Y7001	Labor-Hour	Yes	1/1/2007	1/1/2007	12/31/2007	0.769	No	No	Yes	NA	No	No	joseph.widdup@nist.gov	Level 3	
SB134107AU0002	Firm-Fixed-Price	Yes	5/10/2007	5/11/2007	2/11/2008	0.11	No	No	No	NA	No	Yes	joseph.widdup@nist.gov	Level 3	
SB134107C0004/67143	Labor-Hour	Yes	6/30/2007	6/30/2007	2/29/2008	0.1	No	No	No	NA	No	Yes	joseph.widdup@nist.gov	Level 3	
SB134107C0004	Indefinite-Delivery, Indefinite-Quantity	Yes	3/1/2007	3/1/2007	2/29/2012	3.5	No	No	No	NA	No	Yes	joseph.widdup@nist.gov	Level 3	
SB134104Z0	Labor-Hour	Yes	3/23/2007	3/23/2007	3/31/2008	0.168	No	Yes	No	NA	No	Yes	joseph.widdup@nist.gov	Level 3	

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014/67046													p@nist.gov		
SB134107NC0205	Labor-Hour	Yes	3/30/2007	3/30/2007	3/31/2008	0.217	No	No	Yes	NA	No	Yes	joseph.widdup@nist.gov	Level 3	
SB134107NC0353	Firm-Fixed-Price	Yes	7/13/2007	7/13/2007	8/31/2008	0.159	No	No	Yes	NA	No	No	joseph.widdup@nist.gov	Level 3	
SB13410580568	Firm-Fixed-Price	Yes	9/6/2005	9/6/2005	9/30/2008	0.112	No	No	Yes	NA	No	No	joseph.widdup@nist.gov	Level 3	
SB134105N2047	Firm-Fixed-Price	Yes	9/23/2005	9/23/2005	10/31/2008	0.202	No	No	Yes	NA	No	No	joseph.widdup@nist.gov	Level 3	
SB134107NC0290	Firm-Fixed-Price	Yes	6/25/2007	6/25/2007	7/19/2009	0.214	No	No	Yes	NA	No	No	joseph.widdup@nist.gov	Level 3	
SB13410680322	Firm-Fixed-Price	Yes	6/7/2006	6/7/2006	7/31/2009	0.101	No	No	Yes	NA	No	No	joseph.widdup@nist.gov	Level 3	
SB134105A0006	N/A (Blanket Purchase Agreement)	Yes	9/15/2005	9/15/2005	10/23/2009	0.45	No	No	Yes	NA	No	Yes	joseph.widdup@nist.gov	Level 3	
DGE133ENC0754	Task Order	Yes	6/1/2004	6/1/2004	3/31/2008	3.4	No	No	Yes	NA	No	Yes	morie.gunter-henderson@noaa.gov	Level 1	
Aster DG133E07NC1074	T&M	Yes	11/7/2007	11/7/2007	10/7/2010	0.974	No	No	Yes	NA	No	Yes	Joel.Perlroth@noaa.gov	Level 3	
DGE133ENC070292	Task Order	Yes	1/1/2007	3/1/2007	3/1/2008	1.68	No	No	No	NA	No	Yes	Joel.Perlroth@noaa.gov	Level 3	
DG133F06CN0167	GSA	Yes	8/28/2006	9/1/2006	9/1/2009	2.5	No	Yes	Yes	NA	No	Yes	Mitchell.J.Ross@noaa.gov	Level 3	
DG133F06NC1706	MOBIS	Yes	9/26/2006	9/26/2006	9/24/2009	8.9	No	No	Yes	NA	No	Yes	Mitchell.J.Ross@noaa.gov	Level 3	
DG133F06CN0167	GSA	Yes	8/14/2006	8/15/2006	8/13/2009	2.5	No	No	Yes	NA	No	Yes	Mitchell.J.Ross@noaa.gov	Level 3	
DG133F07NC0734	GSA	Yes	7/18/2007	7/18/2007	7/17/2010	2.5	No	No	Yes	NA	No	Yes	301-713-0820 / Mitchell.J.Ross@noaa.gov	Level 3	
DG133-C05-CN1224	T/M	Yes	8/1/2005	8/1/2005	8/1/2008	2.4	No	Yes	Yes	NA	No	Yes	Mitchell.J.Ross@noaa.gov	Level 3	
DG133C04CT0065	T/M	Yes	9/29/2004	9/29/2004	9/29/2009	2.4	No	Yes	Yes	NA	No	Yes	Mitchell.J.Ross@noaa.gov	Level 3	

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DG133C06N C0870	T/M	Yes	5/5/2006	6/5/2006	4/30/2011	8.697	No	Yes	Yes	NA	Yes	Yes	Mitchell.J.Ross@noaa.gov	Level 3	
DG133C04N 0208	T/M	Yes	2/1/2004	2/1/2004	2/1/2009	0.775	No	No	Yes	NA	No	Yes	Linda.d.Brainard@noaa.gov	Level 3	
DG133C03C T0058	T/M	Yes	9/1/2003	9/1/2003	9/1/2008	3	No	No	Yes	NA	No	Yes	Robert.McNamara@noaa.gov	Level 3	
DG133C06C N0149	T/M	Yes	9/1/2006	10/1/2006	9/1/2009	2.4	No	No	Yes	NA	Yes	Yes	Mitchell.J.Ross@noaa.gov	Level 3	
DG133C06C N0211	T/M	Yes	8/1/2006	10/1/2006	8/1/2009	2.4	No	No	Yes	NA	Yes	Yes	Mitchell.J.Ross@noaa.gov	Level 3	
DG133C04N C0138	T/M	Yes	12/15/2003	12/15/2003	12/14/2008	2.4	No	No	Yes	NA	Yes	Yes	Mitchell.J.Ross@noaa.gov	Level 3	
DG133C06N C1735	T/M	Yes	9/1/2006	9/1/2006	8/30/2009	2.491	No	No	Yes	NA	Yes	Yes	Mitchell.J.Ross@noaa.gov	Level 3	
DG133C06N C1738	T/M	Yes	9/15/2006	9/15/2006	9/14/2010	2.492	No	No	Yes	NA	Yes	Yes	Mitchell.J.Ross@noaa.gov	Level 3	
DG133C07N C0603	T/M	Yes	4/1/2007	4/1/2007	3/30/2012	9.899	No	No	Yes	NA	Yes	Yes	Mitchell.J.Ross@noaa.gov	Level 3	
DG133C07N C1038	T/M	Yes	7/9/2007	7/9/2007	7/31/2012	9.9	No	No	Yes	NA	Yes	Yes	Mitchell.J.Ross@noaa.gov	Level 3	
DG133C07N C0888	T/M	Yes	7/1/2007	8/13/2007	6/30/2012	9.437	No	No	Yes	NA	Yes	Yes	Mitchell.J.Ross@noaa.gov	Level 3	
DG133W-03-CT-0030, Task001	IDIQ	Yes	8/1/2003	9/1/2003	8/31/2009	4.8	No	Yes	Yes	NA	No	Yes	mark.a.miller@noaa.gov	Level 1	
DG-133W-03-CT-0030, Task002	IDIQ	Yes	8/1/2003	9/1/2003	8/31/2009	4.8	No	Yes	Yes	NA	No	Yes	mark.a.miller@noaa.gov	Level 1	
DG133W-03-CT-0030, Task0030	IDIQ	Yes	8/1/2003	4/1/2005	8/31/2009	0.57417	No	Yes	Yes	NA	No	Yes	mark.a.miller@noaa.gov	Level 1	
DG133W-03-CT-0030, Task0029	IDIQ	Yes	8/1/2003	4/4/2005	4/30/2009	2.54321	No	Yes	Yes	NA	No	Yes	mark.a.miller@noaa.gov	Level 1	
DG133R-06-NC-0829 2020 Inc.	T&M	Yes	4/30/2006	5/1/2006	4/30/2011	2.5	No	No	Yes	NA	No	Yes	Mitchell.J.Ross@noaa.gov	Level 3	

Exhibit 300: Department of Commerce Consolidated IT Infrastructure (Revision 14)

* Costs in millions															
Contract or Task Order Number	Type of Contract/ Task Order	Has the contract been awarded (Y/N)	If so what is the date of the award? If not, what is the planned award date?	Start date of Contract/ Task Order	End date of Contract/ Task Order	Total Value of Contract/ Task Order (\$M)	Is this an Interagency Acquisition? (Y/N)	Is it performance based? (Y/N)	Competitively awarded? (Y/N)	What, if any, alternative financing option is being used? (ESPC, UESC, EUL, N/A)	Is EVM in the contract? (Y/N)	Does the contract include the required security & privacy clauses? (Y/N)	CO Contact information (phone/email)	Contracting Officer Certification Level (Level 1,2,3,N/A)	If N/A, has the agency determined the CO assigned has the competencies and skills necessary to support this acquisition? (Y/N)
RA133R-04-CN-0006 Systems Research Group	CPFF	Yes	2/21/2004	2/22/2004	2/21/2009	3.67	No	Yes	Yes	NA	No	Yes	jan.clark@noaa.gov	Level 3	
GS35F5355H RSIS Inc.	T&M	Yes	9/8/2006	9/15/2006	9/14/2008	0.73	No	Yes	Yes	NA	No	Yes	Mitchell.J.Ross@noaa.gov	Level 3	
DG133W-06-NC-1819 Systems Plus	T&M	Yes	9/1/2006	9/1/2006	8/31/2008	0.37454	No	Yes	Yes	NA	No	Yes	Anita.R.Middleton@noaa.gov	Level 3	
CMAA900048 RSIS Inc./INDUS Corp	CPFF	Yes	10/20/2003	11/17/2003	11/16/2009	1.1	No	Yes	Yes	NA	No	Yes	pstang@doc.gov	Level 3	
DG1330-03-RQ-0129		Yes	9/30/2003	9/30/2003	9/30/2008	2.961564	No	Yes	Yes	NA	No	Yes	morie.gunter-henderson@noaa.gov	Level 3	
GS00T02AH D0004 (Qwest ISP)	FFP	Yes	4/28/2005	5/1/2005	5/1/2010	0.362	No	No	Yes	NA	No	Yes	Shirley.A.Kick@noaa.gov	Level N/A	Yes
GS00T99NR D2002 (Anti Spam)	FFP	Yes	4/1/2005	4/1/2005	1/1/2010	0.185	No	No	Yes	NA	No	Yes	Shirley.A.Kick@noaa.gov	Level N/A	Yes
GS11K00BJD 0005 (TLS)	FFP	Yes	11/1/2004	11/1/2004	1/1/2008	0.12	No	No	Yes	NA	No	Yes	Shirley.A.Kick@noaa.gov	Level N/A	Yes
NAAX300060 0021 (SID)	T&M	Yes	9/21/2005	9/21/2005	9/21/2009	0.42	No	No	No	NA	No	Yes	Cliff.Schoenberger@noaa.gov	Level N/A	Yes
NAAX3000-6-00004 (Dynamics)	FFP	Yes	3/1/2006	3/1/2005	2/28/2010	0.309	No	No	Yes	NA	No	Yes	gary.rice@noaa.gov	Level 3	
GS35F4670G	Service	Yes	12/21/2005	1/1/2006	9/30/2008	0.282981	No	No	Yes	NA	No	Yes	lcruz@noaa.gov	Level 3	
CC9012263	Software Maintenance	Yes	10/12/2005	10/12/2005	11/12/2009	0.023682	No	No	Yes	NA	No	Yes	Joel.L.Perroth@noaa.gov	Level 3	
SA1301-05-CT-0061	Firm Fixed Price	Yes	4/25/2005	4/25/2005	4/24/2008	0.444	No	Yes	Yes	NA	No	Yes	fkrempa@doc.gov	Level 3	
DOC50PAPT2 01025	Time and Materials	Yes	7/3/2002	7/2/2002	6/30/2012	160.28	No	Yes	Yes	NA	No	Yes	page.etzel@uspto.gov	Level 3	

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* Costs in millions															
Contract or Task Order Number	Type of Contract/ Task Order	Has the contract been awarded (Y/N)	If so what is the date of the award? If not, what is the planned award date?	Start date of Contract/ Task Order	End date of Contract/ Task Order	Total Value of Contract/ Task Order (\$M)	Is this an Interagency Acquisition ? (Y/N)	Is it performance based? (Y/N)	Competitively awarded? (Y/N)	What, if any, alternative financing option is being used? (ESPC, UESC, EUL, N/A)	Is EVM in the contract? (Y/N)	Does the contract include the required security & privacy clauses? (Y/N)	CO Contact information (phone/email)	Contracting Officer Certification Level (Level 1,2,3,N/A)	If N/A, has the agency determined the CO assigned has the competencies and skills necessary to support this acquisition ? (Y/N)
DOC50PAPTO501005	Cost Plus Fixed Fee	Yes	12/17/2004	12/17/2004	12/31/2012	280.95	No	Yes	Yes	NA	Yes	Yes	marva.brown@uspto.gov	Level 2	
DOC50PAPTO501004	Cost Plus Fixed Fee	Yes	12/17/2004	12/17/2004	12/31/2012	251.18	No	Yes	Yes	NA	Yes	Yes	kate.kudrewicz@uspto.gov	Level 3	
DOC50PAPTO401006	Cost Plus Fixed Fee	Yes	4/29/2004	7/1/2004	6/30/2009	5.266	No	No	Yes	NA	No	Yes	chris.hannah@uspto.gov	Level 3	

2. If earned value is not required or will not be a contract requirement for any of the contracts or task orders above, explain why:

EVM is not incorporated into some of the contracts listed above because the contracts in question were awarded before the Departmental mandatory requirement in August 2005 to include EVM in DOC issued contracts for major IT development investments. The remaining contracts without EVM are for IT maintenance, where EVM is not required. The Department will, wherever appropriate, incorporate EVM into existing IT development contracts as part of exercising renewal options.

3. Do the contracts ensure Section 508 compliance? Yes

a. Explain why:

The Contracting Officer (CO) and the Contracting Officer's Technical Representative (COTR), share responsibilities for ensuring the procured Information Technology (IT) best meets the Section 508 standard while satisfying the technical and functional requirements. The Project Manager ensures that procured information systems comply with Section 508 technical standards (36 CFR 1194.21, 1194.26, 1194.31, 1194.41) and is ultimately responsible for Section 508 compliance of the total IT solution.

4. Is there an acquisition plan which has been approved in accordance with agency requirements? Yes

a. If "yes," what is the date?

11/1/2005

b. If "no," will an acquisition plan be developed?

1. If "no," briefly explain why:

Section D: Performance Information (All Capital Assets)

In order to successfully address this area of the exhibit 300, performance goals must be provided for the agency and be linked to the annual performance plan. The investment must discuss the agency's mission and strategic goals, and performance measures (indicators) must be provided. These goals need to map to the gap in the agency's strategic goals and objectives this investment is designed to fill. They are the internal and external performance benefits this investment is expected to deliver to the agency (e.g., improve efficiency by 60 percent, increase citizen participation by 300 percent a year to achieve an overall citizen participation rate of 75 percent by FY 2xxx, etc.). The goals must be clearly measurable investment outcomes, and if applicable, investment outputs. They do not include the completion date of the module, milestones, or investment, or general goals, such as, significant, better, improved that do not have a quantitative or qualitative measure.

Agencies must use the following table to report performance goals and measures for the major investment and use the Federal Enterprise Architecture (FEA) Performance Reference Model (PRM). Map all Measurement Indicators to the corresponding "Measurement Area" and "Measurement Grouping" identified in the PRM. There should be at least one Measurement Indicator for each of the four different Measurement Areas (for each fiscal year). The PRM is available at www.egov.gov. The table can be extended to include performance measures for years beyond FY 2009.

Performance Information Table								
Fiscal Year	Strategic Goal(s) Supported	Measurement Area	Measurement Category	Measurement Grouping	Measurement Indicator	Baseline	Target	Actual Results
2005	4.1 Management Integration Goal: Achieve Organizational and Management Excellence.	Customer Results	Service Coverage	Frequency and Depth	New Customers and Market Penetrated: HCHB Network: Percentage of migrated users to the HCHB network	25%	100%	46%
2005	4.1 Management Integration Goal: Achieve Organizational and Management Excellence.	Mission and Business Results	Information and Technology Management	IT Infrastructure Maintenance	IT Infrastructure Maintenance: Number of IT Infrastructure Projects Initiated	1	2 each year	2
2005	4.1 Management Integration Goal: Achieve Organizational and Management Excellence.	Processes and Activities	Financial (Processes and Activities)	Costs	Costs: Total IT infrastructure costs as % of IT Budget	23%	Not Exceed 58%	26%
2005	4.1 Management Integration Goal: Achieve Organizational and Management Excellence.	Technology	Financial (Technology)	Licensing Costs	Overall Costs: Average IT OA cost per FTE	3110	Not to exceed 20% of the previous fiscal year	1679
2006	4.1 Management Integration Goal: Achieve	Customer Results	Service Coverage	Frequency and Depth	New Customers and Market Penetrated:	25%	100%	47

Exhibit 300: Department of Commerce Consolidated IT Infrastructure (Revision 14)

Performance Information Table								
Fiscal Year	Strategic Goal(s) Supported	Measurement Area	Measurement Category	Measurement Grouping	Measurement Indicator	Baseline	Target	Actual Results
	Organizational and Management Excellence.				HCHB Network: Percentage of migrated users to the HCHB network			
2006	4.1 Management Integration Goal: Achieve Organizational and Management Excellence.	Mission and Business Results	Information and Technology Management	IT Infrastructure Maintenance	IT Infrastructure Maintenance: Number of IT Infrastructure Projects Initiated	1	2 each year	1 (OMB IOI)
2006	4.1 Management Integration Goal: Achieve Organizational and Management Excellence.	Processes and Activities	Financial (Processes and Activities)	Costs	Costs: Total IT infrastructure costs as % of IT Budget	26%	Not to Exceed 58%	27%
2006	4.1 Management Integration Goal: Achieve Organizational and Management Excellence.	Technology	Financial (Technology)	Licensing Costs	Overall Costs: Average IT OA cost per FTE	1679	Not to exceed 20% of the previous fiscal year	1700
2007	4.1 Management Integration Goal: Achieve Organizational and Management Excellence.	Customer Results	Service Coverage	Frequency and Depth	New Customers and Market Penetrated: HCHB Network: Percentage of migrated users to the HCHB network	25%	100%	50%
2007	4.1 Management Integration Goal: Achieve Organizational and Management Excellence.	Mission and Business Results	Information and Technology Management	IT Infrastructure Maintenance	IT Infrastructure Maintenance: Number of IT Infrastructure Projects Initiated	1	2 each year	1 (email consolidation)
2007	4.1 Management Integration Goal: Achieve Organizational and Management Excellence.	Processes and Activities	Financial (Processes and Activities)	Costs	Costs: Total IT infrastructure costs as % of IT Budget	26%	Not to Exceed 58%	30%
2007	4.1 Management Integration Goal: Achieve Organizational and Management Excellence.	Technology	Financial (Technology)	Licensing Costs	Overall Costs: Average IT OA cost per FTE	1679	Not to exceed 20% of the previous fiscal year	1710
2008	4.1 Management Integration Goal: Achieve Organizational and Management Excellence.	Customer Results	Service Coverage	Frequency and Depth	New Customers and Market Penetrated: HCHB Network: Percentage of migrated users to the HCHB network	25%	100%	
2008	4.1 Management Integration Goal: Achieve Organizational and Management Excellence.	Mission and Business Results	Information and Technology Management	IT Infrastructure Maintenance	IT Infrastructure Maintenance: Number of IT Infrastructure Projects Initiated	1	2 each year	
2008	4.1 Management Integration Goal: Achieve Organizational and Management Excellence.	Processes and Activities	Financial (Processes and Activities)	Costs	Costs: Total IT infrastructure costs as % of IT Budget	26%	Not to Exceed 58%	
2008	4.1 Management Integration Goal: Achieve Organizational and Management	Technology	Financial (Technology)	Licensing Costs	Overall Costs: Average IT OA cost per FTE	1679	Not to exceed 20% of the previous fiscal year	

Performance Information Table								
Fiscal Year	Strategic Goal(s) Supported	Measurement Area	Measurement Category	Measurement Grouping	Measurement Indicator	Baseline	Target	Actual Results
	Excellence.							
2009	4.1 Management Integration Goal: Achieve Organizational and Management Excellence.	Customer Results	Service Coverage	Frequency and Depth	New Customers and Market Penetrated: HCHB Network: Percentage of migrated users to the HCHB network	25%	100%	
2009	4.1 Management Integration Goal: Achieve Organizational and Management Excellence.	Mission and Business Results	Information and Technology Management	IT Infrastructure Maintenance	IT Infrastructure Maintenance: Number of IT Infrastructure Projects Initiated	1	2 each year	
2009	4.1 Management Integration Goal: Achieve Organizational and Management Excellence.	Processes and Activities	Financial (Processes and Activities)	Costs	Costs: Total IT infrastructure costs as % of IT Budget	26%	Not to Exceed 58%	
2009	4.1 Management Integration Goal: Achieve Organizational and Management Excellence.	Technology	Financial (Technology)	Licensing Costs	Overall Costs: Average IT OA cost per FTE	1679	Not to exceed 20% of the previous fiscal year	

Section E: Security and Privacy (IT Capital Assets only)

All systems listed in the two security tables should be identified in the privacy table. The list of systems in the "Name of System" column of the privacy table (Table 8) should match the systems listed in columns titled "Name of System" in the security tables (Tables 3 and 4). For the Privacy table, it is possible that there may not be a one-to-one ratio between the list of systems and the related privacy documents. For example, one PIA could cover multiple systems. If this is the case, a working link to the PIA may be listed in column (d) of the privacy table more than once (for each system covered by the PIA).

The questions asking whether there is a PIA which covers the system and whether a SORN is required for the system are discrete from the narrative fields. The narrative column provides an opportunity for free text explanation why a working link is not provided. For example, a SORN may be required for the system, but the system is not yet operational. In this circumstance, answer "yes" for column (e) and in the narrative in column (f), explain that because the system is not operational the SORN is not yet required to be published.

8. Planning & Operational Systems - Privacy Table:					
(a) Name of System	(b) Is this a new system? (Y/N)	(c) Is there at least one Privacy Impact Assessment (PIA) which covers this system? (Y/N)	(d) Internet Link or Explanation	(e) Is a System of Records Notice (SORN) required for this system? (Y/N)	(f) Internet Link or Explanation
Application Servers - ITA 018	No	No	No PIA is required because the system does not contain, process, or transmit personal identifying information.	No	No because the system is not a Privacy Act system of records.
ARHQ Anchorage (NOAA8880)	No	No	No PIA is required because the system does not contain, process, or transmit personal identifying information.	No	No because the system is not a Privacy Act system of records.
Audit Tracking System (ATS) - Directives Management System (DMS) OS042	No	No	No PIA is required because the system does not contain, process, or transmit personal identifying information.	No	No because the system is not a Privacy Act system of records.
Auke Bay, AK Local Area Network (NOAA4810)	No	No	No PIA is required because the system does not contain, process, or transmit personal identifying information.	No	No because the system is not a Privacy Act system of records.
BEA-EITS	No	No	No PIA is required because the system does not contain, process, or transmit personal identifying information.	No	No because the system is not a Privacy Act system of records

8. Planning & Operational Systems - Privacy Table:					
(a) Name of System	(b) Is this a new system? (Y/N)	(c) Is there at least one Privacy Impact Assessment (PIA) which covers this system? (Y/N)	(d) Internet Link or Explanation	(e) Is a System of Records Notice (SORN) required for this system? (Y/N)	(f) Internet Link or Explanation
BIS IT Infrastructure (BI) (BIS002)	No	No	No PIA is required because the system does not contain, process, or transmit personal identifying information.	No	No because the system is not a Privacy Act system of records.
Blackberry Enterprise Services - ITA 015	No	No	No PIA is required because the system does not contain, process, or transmit personal identifying information.	No	No because the system is not a Privacy Act system of records.
CEN01	No	No	No PIA is required because the system does not contain, process, or transmit personal identifying information.	No	No because the system is not a Privacy Act system of records.
CEN16	Yes	No	No PIA is required because the system does not contain, process, or transmit personal identifying information.	No	No because the system is not a Privacy Act system of records.
CEN17	Yes	No	No PIA is required because the system does not contain, process, or transmit personal identifying information.	No	No because the system is not a Privacy Act system of records.
CEN18	Yes	No	No PIA is required because the system does not contain, process, or transmit personal identifying information.	No	No because the system is not a Privacy Act system of records.
CEN28	No	No	No PIA is required because the system does not contain, process, or transmit personal identifying information.	No	No because the system is not a Privacy Act system of records.
Center for Operational Oceanographic Products and Services (CO-OPS) - Local Area Network (NOAA6201)	No	No	No PIA is required because the system does not contain, process, or transmit personal identifying information.	No	No because the system is not a Privacy Act system of records.
Coastal Services Center (CSC) Information Technology Support System (NOAA6101)	No	No	No PIA is required because the system does not contain, process, or transmit personal identifying information.	No	No because the system is not a Privacy Act system of records.
Common Services System (CSS) - PTOI-004-00	No	No	No PIA is required because the system does not contain, process, or transmit personal identifying information.	No	No because the system is not a Privacy Act system of records.
Computer Sciences Corporation (CSC) Contractor System - PTOC-008-00	No	No	No PIA is required because the system does not contain, process, or transmit personal identifying information.	No	No because the system is not a Privacy Act system of records.
Consolidated Logistics Sys (CLS) (NOAA8223) (formerly NOAA1103)	No	No	No PIA is required because the system does not contain, process, or transmit personal identifying information.	No	No because the system is not a Privacy Act system of records.
CRHQ Kansas City (NOAA8881)	No	No	No PIA is required because the system does not contain, process, or transmit personal identifying information.	No	No because the system is not a Privacy Act system of records.
Department of Commerce Corporate Network (NTIA022)	Yes	No	No PIA is required because the system does not contain, process, or transmit personal identifying information.	No	No because the system is not a Privacy Act system of records.
Department of Commerce National Security Infrastructure Enclave (SIPRNet) NTIA008	No	No	No PIA is required because the system does not contain, process, or transmit personal identifying information.	No	No because the system is not a Privacy Act system of records.
Desk Top - ITA 019	No	No	No PIA is required because the system does not contain, process, or transmit personal identifying information.	No	No because the system is not a Privacy Act system of records.

Exhibit 300: Department of Commerce Consolidated IT Infrastructure (Revision 14)

8. Planning & Operational Systems - Privacy Table:					
(a) Name of System	(b) Is this a new system? (Y/N)	(c) Is there at least one Privacy Impact Assessment (PIA) which covers this system? (Y/N)	(d) Internet Link or Explanation	(e) Is a System of Records Notice (SORN) required for this system? (Y/N)	(f) Internet Link or Explanation
DOC CIRT OS033	No	No	No PIA is required because the system does not contain, process, or transmit personal identifying information.	No	No because the system is not a Privacy Act system of records.
EDA IT Infrastructure	No	No	No PIA is required because the system does not contain, process, or transmit personal identifying information.	No	No because the system is not a Privacy Act system of records.
E-mail Hub Servers - ITA 013	No	No	No PIA is required because the system does not contain, process, or transmit personal identifying information.	No	No because the system is not a Privacy Act system of records.
E-mail Servers - ITA 014	No	No	No PIA is required because the system does not contain, process, or transmit personal identifying information.	No	No because the system is not a Privacy Act system of records.
Enforcement Local Area Network (NOAA4310)	No	No	No PIA is required because the system does not contain, process, or transmit personal identifying information.	No	No because the system is not a Privacy Act system of records.
Enterprise Records Management and Data Quality System (ERMDQS) - PTOI-005-00	No	No	No PIA is required because the system does not contain, process, or transmit personal identifying information.	No	No because the system is not a Privacy Act system of records.
ERHQ Bohemia (NOAA8882)	No	No	No PIA is required because the system does not contain, process, or transmit personal identifying information.	No	No because the system is not a Privacy Act system of records.
ESA Infrastructure	No	No	No PIA is required because the system does not contain, process, or transmit personal identifying information.	No	No because the system is not a Privacy Act system of records.
Frequency Network (FreqNet) NTIA006	No	No	No PIA is required because the system does not contain, process, or transmit personal identifying information.	No	No because the system is not a Privacy Act system of records.
Frequency Network (Red) NTIA015	Yes	No	No PIA is required because the system does not contain, process, or transmit personal identifying information.	No	No because the system is not a Privacy Act system of records.
Galaxy/SRA Contractor System - PTOC-009-00	No	No	No PIA is required because the system does not contain, process, or transmit personal identifying information.	No	No because the system is not a Privacy Act system of records.
Galveston, TX Local Area Network (NOAA4410)	No	No	No PIA is required because the system does not contain, process, or transmit personal identifying information.	No	No because the system is not a Privacy Act system of records.
General Dynamics (GD) Contractor System - PTOC-010-00	No	No	No PIA is required because the system does not contain, process, or transmit personal identifying information.	No	No because the system is not a Privacy Act system of records.
Gloucester, MA Local Area Network (NOAA4100)	No	No	No PIA is required because the system does not contain, process, or transmit personal identifying information.	No	No because the system is not a Privacy Act system of records.
HCHB Network - OS Network OS003	No	No	No PIA is required because the system does not contain, process, or transmit personal identifying information.	No	No because the system is not a Privacy Act system of records.
Headquarters Local Area Network (NOAA4010)	No	No	No PIA is required because the system does not contain, process, or transmit personal identifying information.	No	No because the system is not a Privacy Act system of records.

8. Planning & Operational Systems - Privacy Table:					
(a) Name of System	(b) Is this a new system? (Y/N)	(c) Is there at least one Privacy Impact Assessment (PIA) which covers this system? (Y/N)	(d) Internet Link or Explanation	(e) Is a System of Records Notice (SORN) required for this system? (Y/N)	(f) Internet Link or Explanation
Honolulu, HI Lab Local Area Network (NOAA4960)	No	No	No PIA is required because the system does not contain, process, or transmit personal identifying information.	No	No because the system is not a Privacy Act system of records.
HQ Silver Spring - Shark (NOAA4020)	No	No	No PIA is required because the system does not contain, process, or transmit personal identifying information.	No	No because the system is not a Privacy Act system of records.
Institute for Telecommunication Sciences: Secure Telecommunications Analysis Services NTIA003	No	No	No PIA is required because the system does not contain, process, or transmit personal identifying information.	No	No because the system is not a Privacy Act system of records.
IT Infrastructure (Routers and Switches) - ITA 010	No	No	No PIA is required because the system does not contain, process, or transmit personal identifying information.	No	No because the system is not a Privacy Act system of records.
ITA Core - ITA 012	No	No	No PIA is required because the system does not contain, process, or transmit personal identifying information.	No	No because the system is not a Privacy Act system of records.
ITA DMZ - ITA 016	No	No	No PIA is required because the system does not contain, process, or transmit personal identifying information.	No	No because the system is not a Privacy Act system of records.
ITA Network Security (IDS and Firewalls) - ITA 017	No	No	No PIA is required because the system does not contain, process, or transmit personal identifying information.	No	No because the system is not a Privacy Act system of records.
Juneau, AK Local Area Network (NOAA4700)	No	No	No PIA is required because the system does not contain, process, or transmit personal identifying information.	No	No because the system is not a Privacy Act system of records.
LaJolla, CA Local Area Network (NOAA4930)	No	No	No PIA is required because the system does not contain, process, or transmit personal identifying information.	No	No because the system is not a Privacy Act system of records.
Long Beach, CA Local Area Network (NOAA4900)	No	No	No PIA is required because the system does not contain, process, or transmit personal identifying information.	No	No because the system is not a Privacy Act system of records.
Message Operations Center (MOC) (NOAA0300)	No	No	No PIA is required because the system does not contain, process, or transmit personal identifying information.	No	No because the system is not a Privacy Act system of records.
Metro Campus (SSMC) (NOAA6601)	No	No	No PIA is required because the system does not contain, process, or transmit personal identifying information.	No	No because the system is not a Privacy Act system of records.
Miami, FL Local Area Network (NOAA4400)	No	No	No PIA is required because the system does not contain, process, or transmit personal identifying information.	No	No because the system is not a Privacy Act system of records.
Milford, CT Local Area Network (NOAA4220)	No	No	No PIA is required because the system does not contain, process, or transmit personal identifying information.	No	No because the system is not a Privacy Act system of records.
Minority Business Development Agency General Support System (MGSS)	No	No	No PIA is required because the system does not contain, process, or transmit personal identifying information.	No	No because the system is not a Privacy Act system of records.
Narragansett, RI Local Area Network (NOAA4210)	No	No	No PIA is required because the system does not contain, process, or transmit personal	No	No because the system is not a Privacy Act system of records.

8. Planning & Operational Systems - Privacy Table:					
(a) Name of System	(b) Is this a new system? (Y/N)	(c) Is there at least one Privacy Impact Assessment (PIA) which covers this system? (Y/N)	(d) Internet Link or Explanation	(e) Is a System of Records Notice (SORN) required for this system? (Y/N)	(f) Internet Link or Explanation
			identifying information.		
National Centers for Coastal Ocean Science (NCCOS) Research Support System (NOAA6301)	No	No	No PIA is required because the system does not contain, process, or transmit personal identifying information.	No	No because the system is not a Privacy Act system of records.
National Fishing Permit and Landings Reporting System (NOAA4011)	No	Yes	http://www.cio.noaa.gov/itmanagement/PIA%20AKR%20Permits_091905.pdf	Yes	http://www.rdc.noaa.gov/~foia/asdhome/sysofrec/NOAA-17.htm
National Geodetic Survey (NGS) General Support System (NOAA6401)	No	No	No PIA is required because the system does not contain, process, or transmit personal identifying information.	No	No because the system is not a Privacy Act system of records.
National Marine Sanctuaries (NMS) Support System (NOAA6602)	No	No	No PIA is required because the system does not contain, process, or transmit personal identifying information.	No	No because the system is not a Privacy Act system of records.
National Ocean Service (NOS) Enterprise Information System (NOAA6001)	No	No	No PIA is required because the system does not contain, process, or transmit personal identifying information.	No	No because the system is not a Privacy Act system of records.
N-CIRT Network (NOAA0100)	No	No	No PIA is required because the system does not contain, process, or transmit personal identifying information.	No	No because the system is not a Privacy Act system of records.
NESDIS Headquarters Information Technology Support - Silver Spring, MD (NOAA5006)	No	No	No PIA is required because the system does not contain, process, or transmit personal identifying information.	No	No because the system is not a Privacy Act system of records.
Network Operations Center (NOC) (NOAA0200)	No	No	No PIA is required because the system does not contain, process, or transmit personal identifying information.	No	No because the system is not a Privacy Act system of records.
Network Perimeter (NETPER) - PTOI-002-00	No	No	No PIA is required because the system does not contain, process, or transmit personal identifying information.	No	No because the system is not a Privacy Act system of records.
NIST 181 02 - Public Server System	No	No	No PIA is required because the system does not contain, process, or transmit personal identifying information.	No	No because the system is not a Privacy Act system of records.
NIST 181 07 - IT Security & Networking Division System	No	No	No PIA is required because the system does not contain, process, or transmit personal identifying information.	No	No because the system is not a Privacy Act system of records.
NIST 182 01 - NIST Managed Desktops	No	No	No PIA is required because the system does not contain, process, or transmit personal identifying information.	No	No because the system is not a Privacy Act system of records.
NIST 182 02 - IT Services Management System	No	No	No PIA is required because the system does not contain, process, or transmit personal identifying information.	No	No because the system is not a Privacy Act system of records.
NIST 182 03 - Customer Access and Support Division System	No	No	No PIA is required because the system does not contain, process, or transmit personal identifying information.	No	No because the system is not a Privacy Act system of records.
NIST 183 01 - E-Approval System	No	No	No PIA is required because the system does not contain, process, or transmit personal identifying information.	No	No because the system is not a Privacy Act system of records.
NIST 183 02 - Account Management System	No	No	No PIA is required because the system does not contain, process, or transmit personal	No	No because the system is not a Privacy Act system of records.

8. Planning & Operational Systems - Privacy Table:					
(a) Name of System	(b) Is this a new system? (Y/N)	(c) Is there at least one Privacy Impact Assessment (PIA) which covers this system? (Y/N)	(d) Internet Link or Explanation	(e) Is a System of Records Notice (SORN) required for this system? (Y/N)	(f) Internet Link or Explanation
			identifying information.		
NIST 183 05 - Application Systems Division System	No	No	No PIA is required because the system does not contain, process, or transmit personal identifying information.	No	No because the system is not a Privacy Act system of records.
NIST 183 06 - Application Servers and Databases	No	No	No PIA is required because the system does not contain, process, or transmit personal identifying information.	No	No because the system is not a Privacy Act system of records.
NIST 184 04 - Central Web Server System	No	No	No PIA is required because the system does not contain, process, or transmit personal identifying information.	No	No because the system is not a Privacy Act system of records.
NIST 184 07 - High Availability E-Mail Server	No	No	No PIA is required because the system does not contain, process, or transmit personal identifying information.	No	No because the system is not a Privacy Act system of records.
NIST 184 10 - Enterprise Server System	No	Yes	http://cio.nist.gov/policies/NIST_Privacy_Impact_Assessments_May_2007.pdf	No	No because the system is not a Privacy Act system of records.
NIST 184 13 - Enterprise Systems Division System	No	No	No PIA is required because the system does not contain, process, or transmit personal identifying information.	No	No because the system is not a Privacy Act system of records.
NIST 185 01 - Telephone Switch Gaithersburg	No	No	No PIA is required because the system does not contain, process, or transmit personal identifying information.	No	No because the system is not a Privacy Act system of records.
NIST 185 02 - Telephone Switch Boulder	No	No	No PIA is required because the system does not contain, process, or transmit personal identifying information.	No	No because the system is not a Privacy Act system of records.
NIST 185 03 - Telecommunications and CIO Support Division System	No	No	No PIA is required because the system does not contain, process, or transmit personal identifying information.	No	No because the system is not a Privacy Act system of records.
NMAO Land Bsed Support (NOAA2010)	No	No	No PIA is required because the system does not contain, process, or transmit personal identifying information.	No	No because the system is not a Privacy Act system of records.
NTIA GSS - NTIA005	No	No	No PIA is required because the system does not contain, process, or transmit personal identifying information.	No	No because the system is not a Privacy Act system of records.
OAR Financial Data Management System (FDMS) (NOAA3110)	No	Yes	http://www.cio.noaa.gov/itmanagement/PIA_FDMS_(8-27-07_amendment).doc	Yes	http://www.rdc.noaa.gov/-foia/asdhome/sysofrec/dept2.html
OAR Headquarters (NOAA3000)	No	No	No PIA is required because the system does not contain, process, or transmit personal identifying information.	No	No because the system is not a Privacy Act system of records.
Ocean and Coastal Resource Management (OCRM) Support System - Silver Spring Metro Campus (SSMC) (NOAA6601)	No	No	No PIA is required because the system does not contain, process, or transmit personal identifying information.	No	No because the system is not a Privacy Act system of records.
OCIO HQ LAN (NOAA1102)	No	No	No PIA is required because the system does not contain, process, or transmit personal identifying information.	No	No because the system is not a Privacy Act system of records.
OCIO TASB (Boulder) LAN (NOAA1005)	No	No	No PIA is required because the system does not contain, process, or transmit personal	No	No because the system is not a Privacy Act system of records.

8. Planning & Operational Systems - Privacy Table:					
(a) Name of System	(b) Is this a new system? (Y/N)	(c) Is there at least one Privacy Impact Assessment (PIA) which covers this system? (Y/N)	(d) Internet Link or Explanation	(e) Is a System of Records Notice (SORN) required for this system? (Y/N)	(f) Internet Link or Explanation
			identifying information.		
OCIO TASB (Kansas City) LAN (NOAA1104)	No	No	No PIA is required because the system does not contain, process, or transmit personal identifying information.	No	No because the system is not a Privacy Act system of records.
OCIO TASB (Norfolk) LAN (NOAA1006)	No	No	No PIA is required because the system does not contain, process, or transmit personal identifying information.	No	No because the system is not a Privacy Act system of records.
OCIO TASB (Seattle) LAN (NOAA1004)	No	No	No PIA is required because the system does not contain, process, or transmit personal identifying information.	No	No because the system is not a Privacy Act system of records.
OCS General Support System OS001	No	No	No PIA is required because the system does not contain, process, or transmit personal identifying information.	No	No because the system is not a Privacy Act system of records.
Office of Coast Survey (OCS) General Support System (NOAA6502)	No	No	No PIA is required because the system does not contain, process, or transmit personal identifying information.	No	No because the system is not a Privacy Act system of records.
Office of Global Programs (NOAA3005)	No	No	No PIA is required because the system does not contain, process, or transmit personal identifying information.	No	No because the system is not a Privacy Act system of records.
Office of Response and Restoration (OR&R) Silver Spring Local Area Network (NOAA6701)	No	No	No PIA is required because the system does not contain, process, or transmit personal identifying information.	No	No because the system is not a Privacy Act system of records.
Office of Response and Restoration Support System - Seattle (NOAA6702)	No	No	No PIA is required because the system does not contain, process, or transmit personal identifying information.	No	No because the system is not a Privacy Act system of records.
Operations Center LAN - ITA 003	No	No	No PIA is required because the system does not contain, process, or transmit personal identifying information.	No	No because the system is not a Privacy Act system of records.
Pacific Grove, CA Lab Local Area Network (NOAA4950)	No	No	No PIA is required because the system does not contain, process, or transmit personal identifying information.	No	No because the system is not a Privacy Act system of records.
Pacific Island Area Office, HI Local Area Network (NOAA4920)	No	No	No PIA is required because the system does not contain, process, or transmit personal identifying information.	No	No because the system is not a Privacy Act system of records.
Panama City, FL Local Area Network (NOAA4440)	No	No	No PIA is required because the system does not contain, process, or transmit personal identifying information.	No	No because the system is not a Privacy Act system of records.
Pascagoula, MS and Stennis, MS Lab Local Area Network NOAA4420)	No	No	No PIA is required because the system does not contain, process, or transmit personal identifying information.	No	No because the system is not a Privacy Act system of records.
Personal Identity Verification System (PIVS) - PTOC-007-00	No	Yes	http://www.uspto.gov/web/doc/privacy_pia/pia2006_isacs.pdf	Yes	http://www.uspto.gov/web/doc/privacy_sorn/uspto-pasorn-18.pdf
PRHQ Honolulu (NOAA8883)	No	No	No PIA is required because the system does not contain, process, or transmit personal identifying information.	No	No because the system is not a Privacy Act system of records.
Project Performance Corporation (PPC) GSS Contractor System - PTOC-011-00	No	No	No PIA is required because the system does not contain, process, or transmit personal identifying information.	No	No because the system is not a Privacy Act system of records.

8. Planning & Operational Systems - Privacy Table:					
(a) Name of System	(b) Is this a new system? (Y/N)	(c) Is there at least one Privacy Impact Assessment (PIA) which covers this system? (Y/N)	(d) Internet Link or Explanation	(e) Is a System of Records Notice (SORN) required for this system? (Y/N)	(f) Internet Link or Explanation
Project Performance Corporation (PPC) Host Contractor System - PTOC-017-00	No	No	No PIA is required because the system does not contain, process, or transmit personal identifying information.	No	No because the system is not a Privacy Act system of records.
Raytheon Corporation Contractor System - PTOC-012-00	No	No	No PIA is required because the system does not contain, process, or transmit personal identifying information.	No	No because the system is not a Privacy Act system of records.
Sandy Hook, NJ Local Area Network (NOAA4230)	No	No	No PIA is required because the system does not contain, process, or transmit personal identifying information.	No	No because the system is not a Privacy Act system of records.
Santa Cruz, CA Lab Local Area Network (NOAA4940)	No	No	No PIA is required because the system does not contain, process, or transmit personal identifying information.	No	No because the system is not a Privacy Act system of records.
Santa Rosa, CA Local Area Network (NOAA4910)	No	No	No PIA is required because the system does not contain, process, or transmit personal identifying information.	No	No because the system is not a Privacy Act system of records.
Seattle DSX System (NOAA0600)	No	No	No PIA is required because the system does not contain, process, or transmit personal identifying information.	No	No because the system is not a Privacy Act system of records.
Seattle, WA Local Area Enforcement Network (NOAA4520)	No	Yes	http://www.cio.noaa.gov/itmanagement/PIA_LEAD_S_(8-27-07_amendment).doc	Yes	http://www.rdc.noaa.gov/-/foia/asdhome/sysofrec/noaa5.html
Seattle, WA Local Area Network (NWFSC) (NOAA4600)	No	No	No PIA is required because the system does not contain, process, or transmit personal identifying information.	No	No because the system is not a Privacy Act system of records.
Seattle, WA Local Area Network (NWR) (NOAA4500)	No	No	No PIA is required because the system does not contain, process, or transmit personal identifying information.	No	No because the system is not a Privacy Act system of records.
Seattle, WA Local Area Network (AWRC) (NOAA4800)	No	No	No PIA is required because the system does not contain, process, or transmit personal identifying information.	No	No because the system is not a Privacy Act system of records.
SRHQ Fort Worth (NOAA8884)	No	No	No PIA is required because the system does not contain, process, or transmit personal identifying information.	No	No because the system is not a Privacy Act system of records.
St Petersburg, FL Local Area Network (NOAA4300)	No	No	No PIA is required because the system does not contain, process, or transmit personal identifying information.	No	No because the system is not a Privacy Act system of records.
Trawick and Associates Contractor System - PTOC-014-00	No	No	No PIA is required because the system does not contain, process, or transmit personal identifying information.	No	No because the system is not a Privacy Act system of records.
Web Services ITA 011	No	No	No PIA is required because the system does not contain, process, or transmit personal identifying information.	No	No because the system is not a Privacy Act system of records.
Wide Area Network (NOAA4000)	No	No	No PIA is required because the system does not contain, process, or transmit personal identifying information.	No	No because the system is not a Privacy Act system of records.
Woods Hole, MA Local Area Network (NOAA 4200)	No	No	No PIA is required because the system does not contain, process, or transmit personal identifying information.	No	No because the system is not a Privacy Act system of records.

8. Planning & Operational Systems - Privacy Table:					
(a) Name of System	(b) Is this a new system? (Y/N)	(c) Is there at least one Privacy Impact Assessment (PIA) which covers this system? (Y/N)	(d) Internet Link or Explanation	(e) Is a System of Records Notice (SORN) required for this system? (Y/N)	(f) Internet Link or Explanation
WRHQ Salt Lake City (NOAA8885)	No	No	No PIA is required because the system does not contain, process, or transmit personal identifying information.	No	No because the system is not a Privacy Act system of records.
Details for Text Options: Column (d): If yes to (c), provide the link(s) to the publicly posted PIA(s) with which this system is associated. If no to (c), provide an explanation why the PIA has not been publicly posted or why the PIA has not been conducted. Column (f): If yes to (e), provide the link(s) to where the current and up to date SORN(s) is published in the federal register. If no to (e), provide an explanation why the SORN has not been published or why there isn't a current and up to date SORN. Note: Working links must be provided to specific documents not general privacy websites. Non-working links will be considered as a blank field.					

Section F: Enterprise Architecture (EA) (IT Capital Assets only)

In order to successfully address this area of the capital asset plan and business case, the investment must be included in the agency's EA and Capital Planning and Investment Control (CPIC) process and mapped to and supporting the FEA. The business case must demonstrate the relationship between the investment and the business, performance, data, services, application, and technology layers of the agency's EA.

1. Is this investment included in your agency's target enterprise architecture? Yes
 - a. If "no," please explain why?

2. Is this PIA investment included in the agency's EA Transition Strategy? Yes
 - a. If "yes," provide the investment name as identified in the Transition Strategy provided in the agency's most recent annual EA Assessment. Department of Commerce Consolidated IT Infrastructure
 - b. If "no," please explain why?

3. Is this investment identified in a completed (contains a target architecture) and approved segment architecture? No
 - a. If "yes," provide the name of the segment architecture as provided in the agency's most recent annual EA Assessment.

4. Service Component Reference Model (SRM) Table: Identify the service components funded by this major IT investment (e.g., knowledge management, content management, customer relationship management, etc.). Provide this information in the format of the following table. For detailed guidance regarding components, please refer to http://www.egov.gov .								
Agency Component Name	Agency Component Description	FEA SRM Service Domain	FEA SRM Service Type	FEA SRM Component (a)	Service Component Reused Name (b)	Service Component Reused UPI (b)	Internal or External Reuse? (c)	BY Funding Percentage (d)
		Back Office Services	Asset / Materials Management	Computers / Automation Management			No Reuse	0
		Back Office Services	Data Management	Data Exchange			No Reuse	0
		Business Analytical Services	Visualization	Multimedia			No Reuse	0
		Business Management Services	Investment Management	Performance Management			No Reuse	0
		Business Management Services	Investment Management	Portfolio Management			No Reuse	0
		Business Management Services	Organizational Management	Network Management			No Reuse	0
		Business Management Services	Organizational Management	Network Management			No Reuse	0
		Support Services	Collaboration	Email			No Reuse	0
		Support Services	Collaboration	Shared Calendaring			No Reuse	0

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4. Service Component Reference Model (SRM) Table:								
Identify the service components funded by this major IT investment (e.g., knowledge management, content management, customer relationship management, etc.). Provide this information in the format of the following table. For detailed guidance regarding components, please refer to http://www.egov.gov .								
Agency Component Name	Agency Component Description	FEA SRM Service Domain	FEA SRM Service Type	FEA SRM Component (a)	Service Component Reused Name (b)	Service Component Reused UPI (b)	Internal or External Reuse? (c)	BY Funding Percentage (d)
		Support Services	Communication	Audio Conferencing			No Reuse	0
		Support Services	Communication	Computer / Telephony Integration			No Reuse	0
		Support Services	Communication	Computer / Telephony Integration			No Reuse	0
		Support Services	Security Management	Access Control			No Reuse	0
		Support Services	Security Management	Access Control			No Reuse	0
		Support Services	Security Management	Access Control			No Reuse	0
		Support Services	Security Management	Audit Trail Capture and Analysis			No Reuse	0
		Support Services	Security Management	Identification and Authentication			No Reuse	0
		Support Services	Security Management	Intrusion Detection			No Reuse	0
		Support Services	Systems Management	License Management			No Reuse	0
		Support Services	Systems Management	Remote Systems Control			No Reuse	0
		Support Services	Systems Management	Software Distribution			No Reuse	0
		Support Services	Systems Management	System Resource Monitoring			No Reuse	0

a. Use existing SRM Components or identify as "NEW". A "NEW" component is one not already identified as a service component in the FEA SRM.

b. A reused component is one being funded by another investment, but being used by this investment. Rather than answer yes or no, identify the reused service component funded by the other investment and identify the other investment using the Unique Project Identifier (UPI) code from the OMB Ex 300 or Ex 53 submission.

c. 'Internal' reuse is within an agency. For example, one agency within a department is reusing a service component provided by another agency within the same department. 'External' reuse is one agency within a department reusing a service component provided by another agency in another department. A good example of this is an E-Gov initiative service being reused by multiple organizations across the federal government.

d. Please provide the percentage of the BY requested funding amount used for each service component listed in the table. If external, provide the percentage of the BY requested funding amount transferred to another agency to pay for the service. The percentages in the column can, but are not required to, add up to 100%.

5. Technical Reference Model (TRM) Table:				
To demonstrate how this major IT investment aligns with the FEA Technical Reference Model (TRM), please list the Service Areas, Categories, Standards, and Service Specifications supporting this IT investment.				
FEA SRM Component (a)	FEA TRM Service Area	FEA TRM Service Category	FEA TRM Service Standard	Service Specification (b) (i.e., vendor and product name)
Identification and Authentication	Component Framework	Security	Certificates / Digital Signatures	Digital Certificate Authentication
Identification and Authentication	Component Framework	Security	Certificates / Digital Signatures	Digital Certificate Authentication
Identification and Authentication	Component Framework	Security	Certificates / Digital Signatures	FIPS 186
Access Control	Component Framework	Security	Certificates / Digital Signatures	Secure Sockets Layer (SSL)
Email	Component Framework	Security	Supporting Security Services	Secure Multipurpose Internet Mail Extensions (S/MIME)
Access Control	Component Framework	Security	Supporting Security Services	Transport Layer Security (TLS)
Access Control	Component Framework	Security	Supporting Security Services	Web Services Security (WS-Security)
Email	Service Access and Delivery	Access Channels	Collaboration / Communications	Electronic Mail (E-mail)
Data Exchange	Service Access and Delivery	Access Channels	Other Electronic Channels	System to System
Data Exchange	Service Access and Delivery	Access Channels	Other Electronic Channels	Uniform Resource Locator (URL)
Data Exchange	Service Access and Delivery	Access Channels	Other Electronic Channels	Web Service

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5. Technical Reference Model (TRM) Table:				
To demonstrate how this major IT investment aligns with the FEA Technical Reference Model (TRM), please list the Service Areas, Categories, Standards, and Service Specifications supporting this IT investment.				
FEA SRM Component (a)	FEA TRM Service Area	FEA TRM Service Category	FEA TRM Service Standard	Service Specification (b) (i.e., vendor and product name)
Computer / Telephony Integration	Service Access and Delivery	Access Channels	Web Browser	Internet Explorer
Email	Service Access and Delivery	Access Channels	Wireless / PDA	Blackberry
Access Control	Service Access and Delivery	Service Requirements	Hosting	External (ISP/ASP/FirstGov)
Access Control	Service Access and Delivery	Service Requirements	Hosting	Internal (within Agency)
Configuration Management	Service Access and Delivery	Service Requirements	Legislative / Compliance	Privacy: Liberty Alliance
Configuration Management	Service Access and Delivery	Service Requirements	Legislative / Compliance	Section 508
Configuration Management	Service Access and Delivery	Service Requirements	Legislative / Compliance	Web Content Accessibility
Data Exchange	Service Access and Delivery	Service Transport	Service Transport	Hyper Text Transfer Protocol (HTTP)
Data Exchange	Service Access and Delivery	Service Transport	Service Transport	Hyper Text Transfer Protocol Secure (HTTPS)
Data Exchange	Service Access and Delivery	Service Transport	Service Transport	Internet Protocol (IP)
Identification and Authentication	Service Access and Delivery	Service Transport	Service Transport	IP Security (IPSEC)
Network Management	Service Access and Delivery	Service Transport	Service Transport	Transport Control Protocol (TCP)
Network Management	Service Access and Delivery	Service Transport	Supporting Network Services	Border Gateway Protocol (BGP)
Email	Service Access and Delivery	Service Transport	Supporting Network Services	Directory Services (X.500)
Network Management	Service Access and Delivery	Service Transport	Supporting Network Services	Domain Name System (DNS)
Access Control	Service Access and Delivery	Service Transport	Supporting Network Services	Dynamic Host Configuration Protocol (DHCP)
Access Control	Service Access and Delivery	Service Transport	Supporting Network Services	H.323
Configuration Management	Service Access and Delivery	Service Transport	Supporting Network Services	Lightweight Directory Access Protocol (LDAP)
Email	Service Access and Delivery	Service Transport	Supporting Network Services	Multipurpose Internet Mail Extensions (MIME)
Network Management	Service Access and Delivery	Service Transport	Supporting Network Services	Simple Network Management Protocol (SNMP)
Remote Systems Control	Service Access and Delivery	Service Transport	Supporting Network Services	T.120
Email	Service Access and Delivery	Service Transport	Supporting Network Services	X.400
Software Distribution	Service Platform and Infrastructure	Delivery Servers	Web Servers	Apache
Computers / Automation Management	Service Platform and Infrastructure	Delivery Servers	Web Servers	Internet Information Server
Intrusion Detection	Service Platform and Infrastructure	Hardware / Infrastructure	Network Devices / Standards	Firewall
System Resource Monitoring	Service Platform and Infrastructure	Hardware / Infrastructure	Network Devices / Standards	Gateway
Access Control	Service Platform and Infrastructure	Hardware / Infrastructure	Network Devices / Standards	Router
Data Exchange	Service Platform and Infrastructure	Hardware / Infrastructure	Network Devices / Standards	T1/T3
Computers / Automation Management	Service Platform and Infrastructure	Hardware / Infrastructure	Servers / Computers	Enterprise Server
Data Exchange	Service Platform and Infrastructure	Hardware / Infrastructure	Wide Area Network (WAN)	Mainframe
License Management	Service Platform and Infrastructure	Software Engineering	Software Configuration Management	Change Management
System Resource Monitoring	Service Platform and Infrastructure	Support Platforms	Platform Dependent	Windows 2000
Multimedia	Service Platform and Infrastructure	Support Platforms	Platform Independent	Java 2 Platform Enterprise Edition (J2EE)

a. Service Components identified in the previous question should be entered in this column. Please enter multiple rows for FEA SRM Components supported by multiple TRM Service Specifications

b. In the Service Specification field, agencies should provide information on the specified technical standard or vendor product mapped to the FEA TRM Service Standard, including model or version numbers, as appropriate.

6. Will the application leverage existing components and/or applications across the Government (i.e., FirstGov, Pay.Gov, etc)?

a. If "yes," please describe.

Exhibit 300: Part II: Planning, Acquisition and Performance Information

Section A: Alternatives Analysis (All Capital Assets)

Part II should be completed only for investments identified as "Planning" or "Full Acquisition," or "Mixed Life-Cycle" investments in response to Question 6 in Part I, Section A above.

In selecting the best capital asset, you should identify and consider at least three viable alternatives, in addition to the current baseline, i.e., the status quo. Use OMB Circular A-94 for all investments and the Clinger Cohen Act of 1996 for IT investments to determine the criteria you should use in your Benefit/Cost Analysis.

- 1. Did you conduct an alternatives analysis for this project? Yes
 - a. If "yes," provide the date the analysis was completed? 12/15/2004
 - b. If "no," what is the anticipated date this analysis will be completed?
 - c. If no analysis is planned, please briefly explain why:

- 3. Which alternative was selected by the Agency's Executive/Investment Committee and why was it chosen?
Consistent with the OMB IOI, the Department selected Alternative 2, Enterprise Architecture IT Infrastructure Management.

OMB's current Infrastructure Optimization initiative validates the DOC IT Infrastructure approach. The IOI empowers the Department to continue development of an EA-optimized, cost-effective IT commodity infrastructure enabling core agency missions and customer-centric services. Its goals are enabling multi-dimensional interoperability and collaboration; reducing the total cost of commodity IT infrastructure; and using the savings in better alignment with agency missions.

The IOI approach enables each Operating Unit, with active oversight by the Department, to choose appropriate strategies for optimizing their commodity infrastructure price efficiency/service level metrics. Expected strategies include: aggregation of demand and provisioning thru consolidation; use of shared service providers; best practices in business and technology management. Specifically included are use of SMARTBUY, E-training, and USA Services as applicable to IT.

Given that we are making significant progress towards the achievement of the President's management agenda in a distributed environment, and want to improve our ability to leverage our IT infrastructure investments, we determined that a middle-ground approach was most appropriate and consistent with our Enterprise Architecture. This would require minimal change to the operating units' people and processes, yet present opportunities for leveraging IT investments across the Department. The governing body is an artifact of this initiative that the operating units are already familiar with (i.e., the DOC CIO Council and its sanctioned governing bodies). This has worked successfully for the Enterprise Architecture program. Of the three management approaches, the CIT concluded that Alternative 2, Enterprise Architectural IT Infrastructure Management approach, was the best alternative. As a result, the IT Infrastructure Management Framework was designed to reflect this decision. Managing the IT infrastructure in this way will result in benefits to customers and stakeholders as well as achieve economies and efficiencies.

The life cycle cost table differs from the spending table as we have completed an analysis based on more detailed data reflected by our refined clusters. The Life cycle costs represent our analysis from 2004-2008.

- 4. What specific qualitative benefits will be realized?
Consistent with the OMB IOI, benefits include optimizing the service levels and associated cost of the infrastructure of e-government. For each major IT infrastructure project, this information will be available a separate Exhibit 300 internal to the Department.
- 5. Will the selected alternative replace a legacy system in-part or in-whole? No
 - a. If "yes," are the migration costs associated with the migration to the selected alternative included in this investment, the legacy investment, or in a separate migration investment.
 - b. If "yes," please provide the following information:

List of Legacy Investment or Systems		
Name of the Legacy Investment of Systems	UPI if available	Date of the System Retirement

Section B: Risk Management (All Capital Assets)

You should have performed a risk assessment during the early planning and initial concept phase of this investment's life-cycle, developed a risk-adjusted life-cycle cost estimate and a plan to eliminate, mitigate or manage risk, and be actively managing risk throughout the investment's life-cycle.

1. Does the investment have a Risk Management Plan? Yes
 - a. If "yes," what is the date of the plan? 7/27/2006
 - b. Has the Risk Management Plan been significantly changed since last year's submission to OMB? No
 - c. If "yes," describe any significant changes:

2. If there currently is no plan, will a plan be developed?
 - a. If "yes," what is the planned completion date?
 - b. If "no," what is the strategy for managing the risks?

3. Briefly describe how investment risks are reflected in the life cycle cost estimate and investment schedule:

Section C: Cost and Schedule Performance (All Capital Assets)

EVM is required only on DME portions of investments. For mixed lifecycle investments, O&M milestones should still be included in the table (Comparison of Initial Baseline and Current Approved Baseline). This table should accurately reflect the milestones in the initial baseline, as well as milestones in the current baseline.

1. Does the earned value management system meet the criteria in ANSI/EIA Standard-748? Yes

2. Is the CV% or SV% greater than +/- 10%? (CV%= CV/EV x 100; SV%= SV/PV x 100) No
 - a. If "yes," was it the CV or SV or both?
 - b. If "yes," explain the causes of the variance:

 - c. If "yes," describe the corrective actions:

3. Has the investment re-baselined during the past fiscal year? No
 - a. If "yes," when was it approved by the agency head?

Exhibit 300: Department of Commerce Consolidated IT Infrastructure (Revision 14)

4. Comparison of Initial Baseline and Current Approved Baseline

Complete the following table to compare actual performance against the current performance baseline and to the initial performance baseline. In the Current Baseline section, for all milestones listed, you should provide both the baseline and actual completion dates (e.g., "03/23/2003"/ "04/28/2004") and the baseline and actual total costs (in \$ Millions). In the event that a milestone is not found in both the initial and current baseline, leave the associated cells blank. Note that the 'Description of Milestone' and 'Percent Complete' fields are required. Indicate '0' for any milestone no longer active.

Milestone Number	Description of Milestone	Initial Baseline		Current Baseline				Current Baseline Variance		Percent Complete
		Planned Completion Date (mm/dd/yyyy)	Total Cost (\$M) Estimated	Completion Date (mm/dd/yyyy)		Total Cost (\$M)		Schedule (# days)	Cost (\$M)	
				Planned	Actual	Planned	Actual			
1	FY04 DOC Infrastructure	9/30/2004	\$330.809	9/30/2004	9/30/2004	\$330.809	\$330.809	0	\$0	100%
2	FY05 DOC Infrastructure	9/30/2005	\$370.571	9/30/2005	9/30/2005	\$370.571	\$370.571	0	\$0	100%
3	FY06 DOC Infrastructure	9/30/2006	\$379.231	9/30/2006	9/30/2006	\$379.231	\$379.231	0	\$0	100%
4	FY07 DOC Infrastructure	9/30/2007	\$387.713	9/30/2007	9/30/2007	\$387.713	\$387.713	0	\$0	100%
5	FY08 DOC Infrastructure	9/30/2008	\$424.679	9/30/2008		\$424.679				0%
6	FY09 DOC Infrastructure	9/30/2009	\$444.363	9/30/2009		\$444.363				0%
Project Totals		9/30/2010	\$2761.345	9/30/2010	9/30/2007	\$2761.345	\$1468.324	1096	\$-0.116863	53.17%