

Exhibit 300: Capital Asset Plan and Business Case Summary**Part I: Summary Information And Justification (All Capital Assets)****Section A: Overview (All Capital Assets)**

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|--|---|
| 1. Date of Submission: | 1/7/2008 |
| 2. Agency: | Department of Commerce |
| 3. Bureau: | Noaa (Cio) |
| 4. Name of this Capital Asset: | NOAA/OCIO/ Financial Management IT Operations |
| 5. Unique Project (Investment) Identifier: (For IT investment only, see section 53. For all other, use agency ID system.) | 006-48-01-01-01-3801-00 |
| 6. What kind of investment will this be in FY2009? (Please NOTE: Investments moving to O&M in FY2009, with Planning/Acquisition activities prior to FY2009 should not select O&M. These investments should indicate their current status.) | Mixed Life Cycle |
| 7. What was the first budget year this investment was submitted to OMB? | FY 2001 or earlier |

8. Provide a brief summary and justification for this investment, including a brief description of how this closes in part or in whole an identified agency performance gap:

This investment supports the NOAA Information Technology Center (ITC) which consolidates myriad NOAA administrative and financial systems. Consolidation of these systems at the ITC eliminates the redundancy and inefficiency resulting from having systems scattered across the agency. This investment closes performance gaps in IT Security, server administration, database administration and archiving, and network performance.

This initiative is critical to ensure the functional systems hosted at the ITC and services provided to NOAA (and DOC) are offered in the most cost-efficient environment. Below is a list of major systems managed and supported by the ITC: Interactive FIMA (IFIMA), NFA Table of Organization, (NFATO), NOAA Table of Organization (NOAATO), NOAA Payment System (NPS), Monument Budget System, WebCIMS Correspondence Control, Voluntary Leave Bank System (VLBP), International Agreements Database (IAD), Financial Analysis and Commitment Tracking System (FACTS), Telecommunications Operations (TELOPS), NOAA Staff Directory, E-Learning, FOIA, NOAA Grants System (NGS), Distribution System, HR Tracking, Cross Agency Agreements, Commerce Business System (CBS), Travel Manager, BankCard (CPCS), Discoverer Reporting, Executive Dashboard, NOVO Knowledge Base, NOAA Speech Tracking and Reporting System (NSTARS).

- | | |
|---|-----------|
| 9. Did the Agency's Executive/Investment Committee approve this request? | Yes |
| a. If "yes," what was the date of this approval? | 8/17/2004 |
| 10. Did the Project Manager review this Exhibit? | Yes |
| 12. Has the agency developed and/or promoted cost effective, energy-efficient and environmentally sustainable techniques or practices for this project? | Yes |
| a. Will this investment include electronic assets (including computers)? | Yes |
| b. Is this investment for new construction or major retrofit of a Federal building or facility? (answer applicable to non-IT assets only) | No |
| 1. If "yes," is an ESPC or UESC being used to help fund this investment? | |
| 2. If "yes," will this investment meet sustainable design principles? | |
| 3. If "yes," is it designed to be 30% more energy efficient than relevant code? | |
| 13. Does this investment directly support one of the PMA initiatives? | Yes |

If "yes," check all that apply:

Financial Performance
Budget Performance Integration
Human Capital

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a. Briefly and specifically describe for each selected how this asset directly supports the identified initiative(s)? (e.g. If E-Gov is selected, is it an approved shared service provider or the managing partner?)

The Information Technology Center (ITC) has provided central computing services for NOAA financial and administrative activities since the mid 1970's. This document addresses the continuing systems support and services provided by the ITC to meet the financial and administrative management requirements of NOAA Management.

14. Does this investment support a program assessed using the Program Assessment Rating Tool (PART)? (For more information about the PART, visit www.whitehouse.gov/omb/part.)

No

a. If "yes," does this investment address a weakness found during a PART review?

No

b. If "yes," what is the name of the PARTed program?

c. If "yes," what rating did the PART receive?

15. Is this investment for information technology?

Yes

If the answer to Question 15 is "Yes," complete questions 16-23 below. If the answer is "No," do not answer questions 16-23.

For information technology investments only:

16. What is the level of the IT Project? (per CIO Council PM Guidance)

Level 1

17. What project management qualifications does the Project Manager have? (per CIO Council PM Guidance)

(1) Project manager has been validated as qualified for this investment

18. Is this investment or any project(s) within this investment identified as "high risk" on the Q4 - FY 2007 agency high risk report (per OMB Memorandum M-05-23)

No

19. Is this a financial management system?

Yes

a. If "yes," does this investment address a FFMI compliance area?

Yes

1. If "yes," which compliance area:

Systems Security

2. If "no," what does it address?

b. If "yes," please identify the system name(s) and system acronym(s) as reported in the most recent financial systems inventory update required by Circular A-11 section 52

Financial Management System

20. What is the percentage breakout for the total FY2009 funding request for the following? (This should total 100%)

Hardware	2
Software	6
Services	63
Other	29

21. If this project produces information dissemination products for the public, are these products published to the Internet in conformance with OMB Memorandum 05-04 and included in your agency inventory, schedules and priorities?

N/A

23. Are the records produced by this investment appropriately scheduled with the National Archives and Records Administration's approval?

Yes

Question 24 must be answered by all Investments:

24. Does this investment directly support one of the GAO High Risk Areas?

No

Section B: Summary of Spending (All Capital Assets)

1. Provide the total estimated life-cycle cost for this investment by completing the following table. All amounts represent budget authority in millions, and are rounded to three decimal places. Federal personnel costs should be included only in the row designated "Government FTE Cost," and should be excluded from the amounts shown for "Planning," "Full Acquisition," and "Operation/Maintenance." The "TOTAL" estimated annual cost of the investment is the sum of costs for "Planning," "Full Acquisition," and "Operation/Maintenance." For Federal buildings and facilities, life-cycle costs should include long term energy, environmental, decommissioning, and/or restoration costs. The costs associated with the entire life-cycle of the investment should be included in this report.

Table 1: SUMMARY OF SPENDING FOR PROJECT PHASES (REPORTED IN MILLIONS)									
(Estimates for BY+1 and beyond are for planning purposes only and do not represent budget decisions)									
	PY-1 and earlier	PY 2007	CY 2008	BY 2009	BY+1 2010	BY+2 2011	BY+3 2012	BY+4 and beyond	Total
Planning:	1.256	0	0	0					
Acquisition:	1.435	2.4	0.6	2.4					
Subtotal Planning & Acquisition:	2.691	2.4	0.6	2.4					
Operations & Maintenance:	20.699	4.5698	4.188	4.252					
TOTAL:	23.390	6.9698	4.788	6.652					
Government FTE Costs should not be included in the amounts provided above.									
Government FTE Costs	5.81	1.407	1.442	1.478					
Number of FTE represented by Costs:	22	11	11	11					

Note: For the multi-agency investments, this table should include all funding (both managing partner and partner agencies). Government FTE Costs should not be included as part of the TOTAL represented.

2. Will this project require the agency to hire additional FTE's? No

a. If "yes," How many and in what year?

Section C: Acquisition/Contract Strategy (All Capital Assets)

1. Complete the table for all (including all non-Federal) contracts and/or task orders currently in place or planned for this investment. Total Value should include all option years for each contract. Contracts and/or task orders completed do not need to be included.

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Contracts/Task Orders Table:															* Costs in millions	
Contract or Task Order Number	Type of Contract/ Task Order	Has the contract been awarded (Y/N)	If so what is the date of the award? If not, what is the planned award date?	Start date of Contract/ Task Order	End date of Contract/ Task Order	Total Value of Contract/ Task Order (\$M)	Is this an Interagency Acquisition ? (Y/N)	Is it performance based? (Y/N)	Competitively awarded? (Y/N)	What, if any, alternative financing option is being used? (ESPC, UESC, EUL, N/A)	Is EVM in the contract? (Y/N)	Does the contract include the required security & privacy clauses? (Y/N)	Name of CO	CO Contact information (phone/email)	Contracting Officer Certification Level (Level 1,2,3,N/A)	If N/A, has the agency determined the CO assigned has the competencies and skills necessary to support this acquisition ? (Y/N)
DG133004CT0030	Firm Fixed Price	Yes	5/4/2004	5/4/2004	5/3/2009	15	No	Yes	Yes	NA	No	Yes		morie.gunter-henderson@noaa.gov		Yes
263-01-D-0091, Task Order: DG133007NC0645	NIH CIOsp2i	Yes	4/27/2007	4/26/2007	12/31/2008	0.004974	No	Yes	Yes	NA	No	Yes		morie.gunter-henderson@noaa.gov		Yes
GS-00F-0026M Task Order: DG1330-06-NC-0612	T&M	Yes	3/24/2007	3/24/2007	3/23/2008	0.0006	No	No	No	NA	No	Yes		morie.gunter-henderson@noaa.gov		Yes

2. If earned value is not required or will not be a contract requirement for any of the contracts or task orders above, explain why:

DG133004CT0030: Contract is Firm Fixed Price in support of Steady State Operations and Maintenance. 263-01-D-0091, Task Order: DG133007NC0645 is a firm fixed price contract.; EVM not applicable for Firm-Fixed Price contracts per NOAA acquisition policy.

3. Do the contracts ensure Section 508 compliance? Yes

a. Explain why:

The Department of Commerce and NOAA Contracting Offices require the inclusion of Section 508 compliance language in the statement of work for all IT development service contracts. In order to procure all COTS equipment and software, requestors are required to include with their purchase order or file the Government purchase card invoices as well as the vendors statement of compliance (Voluntary Product Assessability Template VPAT).

4. Is there an acquisition plan which has been approved in accordance with agency requirements? Yes

a. If "yes," what is the date?

2/14/2003

b. If "no," will an acquisition plan be developed?

1. If "no," briefly explain why:

Section D: Performance Information (All Capital Assets)

In order to successfully address this area of the exhibit 300, performance goals must be provided for the agency and be linked to the annual performance plan. The investment must discuss the agency's mission and strategic goals, and performance measures (indicators) must be provided. These goals need to map to the gap in the agency's strategic goals and objectives this investment is designed to fill. They are the internal and external performance benefits this investment is expected to deliver to the agency (e.g., improve efficiency by 60 percent, increase citizen participation by 300 percent a year to achieve an overall citizen participation rate of 75 percent by FY 2xxx, etc.). The goals must be clearly measurable investment outcomes, and if applicable, investment outputs. They do not include the completion date of the module, milestones, or investment, or general goals, such as, significant, better, improved that do not have a quantitative or qualitative measure.

Agencies must use the following table to report performance goals and measures for the major investment and use the Federal Enterprise Architecture (FEA) Performance Reference Model (PRM). Map all Measurement Indicators to the corresponding "Measurement Area" and "Measurement Grouping" identified in the PRM. There should be at least one Measurement Indicator for each of the four different Measurement Areas (for each fiscal year). The PRM is available at www.egov.gov. The table can be extended to include performance measures for years beyond FY 2009.

Performance Information Table								
Fiscal Year	Strategic Goal(s) Supported	Measurement Area	Measurement Category	Measurement Grouping	Measurement Indicator	Baseline	Target	Actual Results
2006	3.1 Advance understanding and predict changes in the Earth's environment to meet America's economic, social, and environmental needs.	Customer Results	Customer Benefit	Customer Satisfaction	Customer satisfaction for ITC Hosting customers	70% satisfaction	10% increase in satisfaction	17% increase in customer satisfaction
2006	3.1 Advance understanding and predict changes in the Earth's environment to meet America's economic, social, and environmental needs.	Mission and Business Results	Financial Management	Reporting and Information	Customer data storage availability.	Implementation of President's Management Agenda.	Deploy data warehouse server in response to NFR NOAA 2005-05 and NFR NOAA 2005-06.	Data warehouse server deployed in 1st quarter FY 2006.
2006	3.1 Advance understanding and predict changes in the Earth's environment to meet America's economic, social, and environmental needs.	Processes and Activities	Cycle Time and Resource Time	Cycle Time	Full system backups are critical to successful recovery of systems	Full backups taking greater than 10 hours	Reduce full backups to less than 4 hours	Full backups are taking 3.8 hours
2006	3.1 Advance understanding	Processes and Activities	Security and Privacy	Privacy	Customer data storage	CBS Production systems,	Tech Refresh of production	Devices installed and

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Performance Information Table								
Fiscal Year	Strategic Goal(s) Supported	Measurement Area	Measurement Category	Measurement Grouping	Measurement Indicator	Baseline	Target	Actual Results
	and predict changes in the Earth's environment to meet America's economic, social, and environmental needs.				availability.	training system and application interface development system	systems with 2 HP Alpha ES80 and EVA5000 storage arrays for CBS.	implemented 1st quarter Fy2006
2006	3.1 Advance understanding and predict changes in the Earth's environment to meet America's economic, social, and environmental needs.	Technology	Reliability and Availability	Availability	System availability	95% system availability	2% increase in system uptime?	97.8% system availability
2007	3.1 Advance understanding and predict changes in the Earth's environment to meet America's economic, social, and environmental needs.	Customer Results	Customer Benefit	Customer Satisfaction	Customer satisfaction for ITC Hosting customers	77% satisfaction	10% increase in satisfaction	TBD
2007	3.1 Advance understanding and predict changes in the Earth's environment to meet America's economic, social, and environmental needs.	Customer Results	Service Accessibility	Access	Maximum concurrent customer access	Single front-end systems - 800 users	100% increase in concurrent users via load balancing across multiple servers	TBD
2007	3.1 Advance understanding and predict changes in the Earth's environment to meet America's economic, social, and environmental needs.	Processes and Activities	Security and Privacy	Security	Customer data security and privacy between operational and D-R site	Data backups transported by contract courier between Operational and D-R sites	Encrypted communications with real-time transaction-level database replication to D-R site	TBD
2007	3.1 Advance understanding and predict changes in the Earth's environment to meet America's economic, social, and environmental needs.	Technology	Reliability and Availability	Availability	System availability	97% system availability	1% increase in system uptime	TBD
2008	3.1 Advance understanding and predict changes in the Earth's environment to meet America's economic, social, and environmental needs.	Customer Results	Customer Benefit	Customer Satisfaction	Customer satisfaction for ITC Hosting customers	85% satisfaction	10% increase in satisfaction	TBD
2009	3.1 Advance understanding and predict changes in the Earth's environment to meet America's economic, social, and environmental	Customer Results	Customer Benefit	Customer Satisfaction	Customer satisfaction for ITC Hosting customers	93.5% satisfaction	95% satisfaction	TBD

Performance Information Table								
Fiscal Year	Strategic Goal(s) Supported	Measurement Area	Measurement Category	Measurement Grouping	Measurement Indicator	Baseline	Target	Actual Results
	needs.							
2009	3.1 Advance understanding and predict changes in the Earth's environment to meet America's economic, social, and environmental needs.	Mission and Business Results	Disaster Management	Disaster Preparedness and Planning	Implement reduced scale D-R site with replicated data	D-R site has media, documentation, and space	Full coverage of production systems using multi-homed and virtualized servers	TBD
2009	3.1 Advance understanding and predict changes in the Earth's environment to meet America's economic, social, and environmental needs.	Mission and Business Results	Financial Management	Reporting and Information	Availability of financial commitment tracking and reporting	96% availability	2% increase in availability	TBD
2009	3.1 Advance understanding and predict changes in the Earth's environment to meet America's economic, social, and environmental needs.	Technology	Reliability and Availability	Availability	System availability	98% system availability	1% increase in system uptime	TBD

Section E: Security and Privacy (IT Capital Assets only)

In order to successfully address this area of the business case, each question below must be answered at the system/application level, not at a program or agency level. Systems supporting this investment on the planning and operational systems security tables should match the systems on the privacy table below. Systems on the Operational Security Table must be included on your agency FISMA system inventory and should be easily referenced in the inventory (i.e., should use the same name or identifier).

For existing Mixed-Life Cycle investments where enhancement, development, and/or modernization is planned, include the investment in both the "Systems in Planning" table (Table 3) and the "Operational Systems" table (Table 4). Systems which are already operational, but have enhancement, development, and/or modernization activity, should be included in both Table 3 and Table 4. Table 3 should reflect the planned date for the system changes to be complete and operational, and the planned date for the associated C&A update. Table 4 should reflect the current status of the requirements listed. In this context, information contained within Table 3 should characterize what updates to testing and documentation will occur before implementing the enhancements; and Table 4 should characterize the current state of the materials associated with the existing system.

All systems listed in the two security tables should be identified in the privacy table. The list of systems in the "Name of System" column of the privacy table (Table 8) should match the systems listed in columns titled "Name of System" in the security tables (Tables 3 and 4). For the Privacy table, it is possible that there may not be a one-to-one ratio between the list of systems and the related privacy documents. For example, one PIA could cover multiple systems. If this is the case, a working link to the PIA may be listed in column (d) of the privacy table more than once (for each system covered by the PIA).

The questions asking whether there is a PIA which covers the system and whether a SORN is required for the system are discrete from the narrative fields. The narrative column provides an opportunity for free text explanation why a working link is not provided. For example, a SORN may be required for the system, but the system is not yet operational. In this circumstance, answer "yes" for column (e) and in the narrative in column (f), explain that because the system is not operational the SORN is not yet required to be published.

Please respond to the questions below and verify the system owner took the following actions:

1. Have the IT security costs for the system(s) been identified and integrated into the overall costs of the investment: Yes
 - a. If "yes," provide the "Percentage IT Security" for the budget year: 10
2. Is identifying and assessing security and privacy risks a part of the overall risk management effort for each system supporting or part of this investment. Yes
5. Have any weaknesses, not yet remediated, related to any of the systems part of or supporting this investment been Yes

identified by the agency or IG?

a. If "yes," have those weaknesses been incorporated into the agency's plan of action and milestone process? Yes

8. Planning & Operational Systems - Privacy Table:					
(a) Name of System	(b) Is this a new system? (Y/N)	(c) Is there at least one Privacy Impact Assessment (PIA) which covers this system? (Y/N)	(d) Internet Link or Explanation	(e) Is a System of Records Notice (SORN) required for this system? (Y/N)	(f) Internet Link or Explanation
End-to-End (E2E) Resource Management System	Yes	No	No, because the system does not contain, process, or transmit personal identifying information.	No	No, because the existing Privacy Act system of records was not substantially revised in FY 06.
NOAA Information Technology Center	No	No	No because the system does not contain, process, or transmit personal identifying information.	No	No because the system is not a Privacy Act system of records.

Details for Text Options:
 Column (d): If yes to (c), provide the link(s) to the publicly posted PIA(s) with which this system is associated. If no to (c), provide an explanation why the PIA has not been publicly posted or why the PIA has not been conducted.
 Column (f): If yes to (e), provide the link(s) to where the current and up to date SORN(s) is published in the federal register. If no to (e), provide an explanation why the SORN has not been published or why there isn't a current and up to date SORN.
 Note: Working links must be provided to specific documents not general privacy websites. Non-working links will be considered as a blank field.

Section F: Enterprise Architecture (EA) (IT Capital Assets only)

In order to successfully address this area of the capital asset plan and business case, the investment must be included in the agency's EA and Capital Planning and Investment Control (CPIC) process and mapped to and supporting the FEA. The business case must demonstrate the relationship between the investment and the business, performance, data, services, application, and technology layers of the agency's EA.

1. Is this investment included in your agency's target enterprise architecture? Yes

a. If "no," please explain why?

2. Is this investment included in the agency's EA Transition Strategy? Yes

a. If "yes," provide the investment name as identified in the Transition Strategy provided in the agency's most recent annual EA Assessment. Financial Management IT Operations

b. If "no," please explain why?

3. Is this investment identified in a completed (contains a target architecture) and approved segment architecture? No

a. If "yes," provide the name of the segment architecture as provided in the agency's most recent annual EA Assessment.

4. Service Component Reference Model (SRM) Table: Identify the service components funded by this major IT investment (e.g., knowledge management, content management, customer relationship management, etc.). Provide this information in the format of the following table. For detailed guidance regarding components, please refer to http://www.egov.gov .								
Agency Component Name	Agency Component Description	FEA SRM Service Domain	FEA SRM Service Type	FEA SRM Component (a)	Service Component Reused Name (b)	Service Component Reused UPI (b)	Internal or External Reuse? (c)	BY Funding Percentage (d)
MS-ITS NOAA Administrative Systems	Travel Management	Back Office Services	Financial Management	Billing and Accounting			No Reuse	0
MS-ITS NOAA Administrative Systems	Data Warehouse	Back Office Services	Financial Management	Billing and Accounting			No Reuse	0
MS-ITS NOAA Administrative Systems	Credit/Charge	Back Office Services	Financial Management	Credit / Charge			No Reuse	0
MS-ITS NOAA Administrative Systems	This capability provides IT support for NOAA's administrative	Support Services	Collaboration	Document Library			No Reuse	100

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4. Service Component Reference Model (SRM) Table: Identify the service components funded by this major IT investment (e.g., knowledge management, content management, customer relationship management, etc.). Provide this information in the format of the following table. For detailed guidance regarding components, please refer to http://www.egov.gov .								
Agency Component Name	Agency Component Description	FEA SRM Service Domain	FEA SRM Service Type	FEA SRM Component (a)	Service Component Reused Name (b)	Service Component Reused UPI (b)	Internal or External Reuse? (c)	BY Funding Percentage (d)
	processes including Grants, Finance, and Procurement. These services include: operation of the Information Technology Center, local desk top support for office automation for NOAA administrative offices and NOAA Headquarters, and maintenance of major applications.							

a. Use existing SRM Components or identify as "NEW". A "NEW" component is one not already identified as a service component in the FEA SRM.

b. A reused component is one being funded by another investment, but being used by this investment. Rather than answer yes or no, identify the reused service component funded by the other investment and identify the other investment using the Unique Project Identifier (UPI) code from the OMB Ex 300 or Ex 53 submission.

c. 'Internal' reuse is within an agency. For example, one agency within a department is reusing a service component provided by another agency within the same department. 'External' reuse is one agency within a department reusing a service component provided by another agency in another department. A good example of this is an E-Gov initiative service being reused by multiple organizations across the federal government.

d. Please provide the percentage of the BY requested funding amount used for each service component listed in the table. If external, provide the percentage of the BY requested funding amount transferred to another agency to pay for the service. The percentages in the column can, but are not required to, add up to 100%.

5. Technical Reference Model (TRM) Table: To demonstrate how this major IT investment aligns with the FEA Technical Reference Model (TRM), please list the Service Areas, Categories, Standards, and Service Specifications supporting this IT investment.				
FEA SRM Component (a)	FEA TRM Service Area	FEA TRM Service Category	FEA TRM Service Standard	Service Specification (b) (i.e., vendor and product name)
Travel Management	Service Platform and Infrastructure	Hardware / Infrastructure	Servers / Computers	
Data Warehouse	Service Platform and Infrastructure	Hardware / Infrastructure	Servers / Computers	
Credit / Charge	Service Platform and Infrastructure	Hardware / Infrastructure	Servers / Computers	

a. Service Components identified in the previous question should be entered in this column. Please enter multiple rows for FEA SRM Components supported by multiple TRM Service Specifications

b. In the Service Specification field, agencies should provide information on the specified technical standard or vendor product mapped to the FEA TRM Service Standard, including model or version numbers, as appropriate.

6. Will the application leverage existing components and/or applications across the Government (i.e., FirstGov, Pay.Gov, etc)? Yes

a. If "yes," please describe.

Retrieves personnel and payroll data from USDA/NFC.
Issues payment requests through Treasury FMS.

Exhibit 300: Part II: Planning, Acquisition and Performance Information**Section A: Alternatives Analysis (All Capital Assets)**

Part II should be completed only for investments identified as "Planning" or "Full Acquisition," or "Mixed Life-Cycle" investments in response to Question 6 in Part I, Section A above.

In selecting the best capital asset, you should identify and consider at least three viable alternatives, in addition to the current baseline, i.e., the status quo. Use OMB Circular A-94 for all investments and the Clinger Cohen Act of 1996 for IT investments to determine the criteria you should use in your Benefit/Cost Analysis.

1. Did you conduct an alternatives analysis for this project? Yes
- a. If "yes," provide the date the analysis was completed? 5/25/2005
- b. If "no," what is the anticipated date this analysis will be completed?
- c. If no analysis is planned, please briefly explain why:

5. Will the selected alternative replace a legacy system in-part or in-whole? Yes
- a. If "yes," are the migration costs associated with the migration to the selected alternative included in this investment, the legacy investment, or in a separate migration investment. This Investment
- b. If "yes," please provide the following information:

List of Legacy Investment or Systems		
Name of the Legacy Investment of Systems	UPI if available	Date of the System Retirement
Budget Formulation System		9/30/2008
CasaNOSA		12/31/2008
PIRS		9/30/2007

Section B: Risk Management (All Capital Assets)

You should have performed a risk assessment during the early planning and initial concept phase of this investment's life-cycle, developed a risk-adjusted life-cycle cost estimate and a plan to eliminate, mitigate or manage risk, and be actively managing risk throughout the investment's life-cycle.

1. Does the investment have a Risk Management Plan? Yes
- a. If "yes," what is the date of the plan? 5/1/2007
- b. Has the Risk Management Plan been significantly changed since last year's submission to OMB? Yes
- c. If "yes," describe any significant changes:
The contractor selected to develop E2E has updated the risk management plan to reflect their integrated risk management methodology.
2. If there currently is no plan, will a plan be developed?
- a. If "yes," what is the planned completion date?
- b. If "no," what is the strategy for managing the risks?
3. Briefly describe how investment risks are reflected in the life cycle cost estimate and investment schedule:

Section C: Cost and Schedule Performance (All Capital Assets)

EVM is required only on DME portions of investments. For mixed lifecycle investments, O&M milestones should still be included in the table (Comparison of Initial Baseline and Current Approved Baseline). This table should accurately reflect the milestones in the initial baseline, as well as milestones in the current baseline.

1. Does the earned value management system meet the criteria in ANSI/EIA Standard-748? No

2. Is the CV% or SV% greater than +/- 10%? (CV%= CV/EV x 100; SV%= SV/PV x 100) No

- a. If "yes," was it the CV or SV or both?
- b. If "yes," explain the causes of the variance:
- c. If "yes," describe the corrective actions:

3. Has the investment re-baselined during the past fiscal year? No

- a. If "yes," when was it approved by the agency head?

4. Comparison of Initial Baseline and Current Approved Baseline

Complete the following table to compare actual performance against the current performance baseline and to the initial performance baseline. In the Current Baseline section, for all milestones listed, you should provide both the baseline and actual completion dates (e.g., "03/23/2003"/ "04/28/2004") and the baseline and actual total costs (in \$ Millions). In the event that a milestone is not found in both the initial and current baseline, leave the associated cells blank. Note that the 'Description of Milestone' and 'Percent Complete' fields are required. Indicate 'O' for any milestone no longer active.

Milestone Number	Description of Milestone	Initial Baseline		Current Baseline				Current Baseline Variance		Percent Complete
		Planned Completion Date (mm/dd/yyyy)	Total Cost (\$M) Estimated	Completion Date (mm/dd/yyyy)		Total Cost (\$M)		Schedule (# days)	Cost (\$M)	
				Planned	Actual	Planned	Actual			
1.1	Document As-Is Environment	3/31/2005	\$0.21	3/31/2005	3/31/2005	\$0.21	\$0.21	0	\$0	100%
1.2	Develop E2E functional requirements	6/1/2005	\$0.095	6/1/2005	6/1/2005	\$0.095	\$0.095	0	\$0	100%
1.3	Develop To-Be environment description	6/30/2005	\$0.21	6/30/2005	6/30/2005	\$0.21	\$0.21	0	\$0	100%
1.4	Prepare acquisition plan	5/27/2005	\$0.03	5/27/2005	5/27/2005	\$0.03	\$0.03	0	\$0	100%
1.5	Conduct additional market research	8/30/2005	\$0.02	8/30/2005	8/30/2005	\$0.02	\$0.02	0	\$0	100%
2.1	Develop detailed technical specifications	2/28/2007	\$0.325	2/28/2007	11/30/2006	\$0.325	\$0.3	90	\$0.025	100%
2.2	Develop RFP/acquisition materials	5/31/2007	\$0.225	5/31/2007	2/28/2007	\$0.3	\$0.3	92	\$0	100%
2.3	Evaluate proposals	9/29/2007	\$0.025	9/29/2007	4/27/2007	\$0.033	\$0.025	155	\$0.008	100%
3.1	Acquire E2E hardware	12/1/2007	\$0.335	12/1/2007	8/29/2007	\$0.08	\$0.075	94	\$0.005	100%
3.2	Acquire E2E software	12/1/2007	\$1.335	10/31/2009		\$1				10%
3.3	Conduct design phase	3/1/2008	\$0.435	7/31/2009		\$1.9				0%
3.4	Conduct build phase	5/30/2008	\$0.435	11/30/2009		\$1.7				0%
3.5	Conduct evaluate phase	9/30/2008	\$0.61	12/31/2009		\$0.87				0%