

**Exhibit 300: Capital Asset Plan and Business Case Summary****Part I: Summary Information And Justification (All Capital Assets)****Section A: Overview (All Capital Assets)**

1. Date of Submission:

2. Agency: Department of Commerce

3. Bureau: Noaa (Cio)

4. Name of this Capital Asset: NOAA/OCIO/ NOAA Non-Core CBS Financial Management System

5. Unique Project (Investment) Identifier: (For IT investment only, see section 53. For all other, use agency ID system.) 006-48-01-01-01-3803-00

6. What kind of investment will this be in FY 2010? (Please NOTE: Investments moving to O&amp;M in FY 2010, with Planning/Acquisition activities prior to FY 2010 should not select O&amp;M. These investments should indicate their current status.) Operations and Maintenance

7. What was the first budget year this investment was submitted to OMB? FY2004

8. Provide a brief summary and justification for this investment, including a brief description of how this closes in part or in whole an identified agency performance gap:

This Exhibit 300 represents that investment required to support the NOAA non-core CBS financial system modules/applications.

This funding supports the non-core CBS financial systems modules which includes Permanent Change of Station, Integrated Travel Manager, and related Data Warehouse data. This funding will allow NFA to provide high-quality support to every program and line office throughout NOAA by providing timely and accurate financial data and it will allow NOAA to become regulatory compliant. Although the financial system is already operational, operational support and maintenance are required to continue to meet user needs, provide timely recording of financial data at the lowest levels, respond to changes in regulatory guidance, and maintain required interfaces. Examples of these are to record commitment data at the point of entry, comply with emerging security requirements and PII data protection mandates, and obligate travel orders. Having reliable financial data available to NOAA managers on a daily basis provides them the ability to make more informed programmatic and scientific decisions. It also provides NOAA with the ability to provide better financial data to external organizations such as OMB, Treasury and Congress. It also puts NOAA into compliance with OMB Circular A-34, MO6-16, and meets requirements of the Joint Financial Management Improvement Program (JFMIP) and the Federal Financial Management Improvement Act (FFMIA). The systems enables the Department to meet the requirements of the CFO Act and Circular A-127. This investment is also in direct support of the President's Management Agenda to "improve financial performance" as it assists the financial management system in producing accurate and timely information to support operating, budget, and policy decisions.

The PCS system will not be replaced, at least initially, by E-Travel.

9. Did the Agency's Executive/Investment Committee approve this request? Yes

a. If "yes," what was the date of this approval? 4/27/1998

10. Did the Project Manager review this Exhibit? Yes

a. What is the current FAC-P/PM (for civilian agencies) or DAWIA (for defense agencies) certification level of the program/project manager? Waiver Issued

b. When was the Program/Project Manager Assigned? 1/3/2006

c. What date did the Program/Project Manager receive the FAC-P/PM certification? If the certification has not been issued, what is the anticipated date for certification? 12/5/2008

12. Has the agency developed and/or promoted cost effective, energy-efficient and environmentally sustainable techniques or practices for this project? Yes

a. Will this investment include electronic assets (including computers)? Yes

- b. Is this investment for new construction or major retrofit of a Federal building or facility? (answer applicable to non-IT assets only) No
1. If "yes," is an ESPC or UESC being used to help fund this investment?
2. If "yes," will this investment meet sustainable design principles?
3. If "yes," is it designed to be 30% more energy efficient than relevant code?
13. Does this investment directly support one of the PMA initiatives? Yes
- If "yes," check all that apply: Financial Performance
- a. Briefly and specifically describe for each selected how this asset directly supports the identified initiative(s)? (e.g. If E-Gov is selected, is it an approved shared service provider or the managing partner?) The system enables the DOC to meet the requirements of the CFO Act and Circular A-127. This investment is also in direct support of the President's Management Agenda to "improve financial performance." CBS provides a financial management system that produces accurate and timely information to support operating, budget, and policy decisions within the DOC.
14. Does this investment support a program assessed using the Program Assessment Rating Tool (PART)? (For more information about the PART, visit [www.whitehouse.gov/omb/part.](http://www.whitehouse.gov/omb/part.)) No
- a. If "yes," does this investment address a weakness found during a PART review?
- b. If "yes," what is the name of the PARTed program?
- c. If "yes," what rating did the PART receive?
15. Is this investment for information technology? Yes
- If the answer to Question 15 is "Yes," complete questions 16-23 below. If the answer is "No," do not answer questions 16-23.
- For information technology investments only:
16. What is the level of the IT Project? (per CIO Council PM Guidance) Level 2
17. In addition to the answer in 11(a), what project management qualifications does the Project Manager have? (per CIO Council PM Guidance) (1) Project manager has been validated as qualified for this investment
18. Is this investment or any project(s) within this investment identified as "high risk" on the Q4 - FY 2008 agency high risk report (per OMB Memorandum M-05-23) No
19. Is this a financial management system? Yes
- a. If "yes," does this investment address a FFIA compliance area? Yes
1. If "yes," which compliance area: FISCAM, Prompt Pay Act, Timely/Accurate Financial Reports, and Archiving
2. If "no," what does it address?
- b. If "yes," please identify the system name(s) and system acronym(s) as reported in the most recent financial systems inventory update required by Circular A-11 section 52
- This financial management system is part of, and maps to, the Commerce Business Systems (CBS), which was previously referred to as Commerce Administrative Management System (CAMS).
20. What is the percentage breakout for the total FY2010 funding request for the following? (This should total 100%)
- |          |    |
|----------|----|
| Hardware | 10 |
| Software | 10 |
| Services | 56 |
| Other    | 24 |
21. If this project produces information dissemination products for the public, are these products published to the Internet in conformance with OMB Memorandum 05-04 and included in your agency inventory, schedules and priorities? N/A

23. Are the records produced by this investment appropriately scheduled with the National Archives and Records Administration's approval? No

Question 24 must be answered by all Investments:

24. Does this investment directly support one of the GAO High Risk Areas? No

**Section B: Summary of Spending (All Capital Assets)**

1. Provide the total estimated life-cycle cost for this investment by completing the following table. All amounts represent budget authority in millions, and are rounded to three decimal places. Federal personnel costs should be included only in the row designated "Government FTE Cost," and should be excluded from the amounts shown for "Planning," "Full Acquisition," and "Operation/Maintenance." The "TOTAL" estimated annual cost of the investment is the sum of costs for "Planning," "Full Acquisition," and "Operation/Maintenance." For Federal buildings and facilities, life-cycle costs should include long term energy, environmental, decommissioning, and/or restoration costs. The costs associated with the entire life-cycle of the investment should be included in this report.

Table 1: SUMMARY OF SPENDING FOR PROJECT PHASES (REPORTED IN MILLIONS)									
(Estimates for BY+1 and beyond are for planning purposes only and do not represent budget decisions)									
	PY-1 and earlier	PY 2008	CY 2009	BY 2010					
Planning:	0.09	0	0	0					
Acquisition:	0.075	0	0	0					
Subtotal Planning & Acquisition:	0.165	0	0	0					
Operations & Maintenance:	5.3804	0.707	0.757	0.757					
TOTAL:	5.5454	0.707	0.757	0.757					
<b>Government FTE Costs should not be included in the amounts provided above.</b>									
Government FTE Costs	1.135	0.23	0.2415	0.2415					
Number of FTE represented by Costs:	3	3	3	3					

Note: For the multi-agency investments, this table should include all funding (both managing partner and partner agencies). Government FTE Costs should not be included as part of the TOTAL represented.

2. Will this project require the agency to hire additional FTE's? No

a. If "yes," How many and in what year?

3. If the summary of spending has changed from the FY2009 President's budget request, briefly explain those changes:

**Section C: Acquisition/Contract Strategy (All Capital Assets)**

1. Complete the table for all (including all non-Federal) contracts and/or task orders currently in place or planned for this investment. Total Value should include all option years for each contract. Contracts and/or task orders completed do not need to be included.

Exhibit 300: NOAA/OCIO/ NOAA Non-Core CBS Financial Management System (Revision 19)

Contracts/Task Orders Table:															* Costs in millions	
Contract or Task Order Number	Type of Contract/ Task Order (In accordance with FAR Part 16)	Has the contract been awarded (Y/N)	If so what is the date of the award? If not, what is the planned award date?	Start date of Contract/ Task Order	End date of Contract/ Task Order	Total Value of Contract/ Task Order (\$M)	Is this an Interagency Acquisition ? (Y/N)	Is it performance based? (Y/N)	Competitively awarded? (Y/N)	What, if any, alternative financing option is being used? (ESPC, UESC, EUL, N/A)	Is EVM in the contract? (Y/N)	Does the contract include the required security & privacy clauses? (Y/N)	Name of CO	CO Contact information (phone/email)	Contracting Officer FAC-C or DAWIA Certification Level (Level 1, 2, 3, N/A)	If N/A, has the agency determined the CO assigned has the competencies and skills necessary to support this acquisition ? (Y/N)
SA1301-05-CN-0019	FFP	Yes	9/23/2005	9/30/2005	9/29/2010	0.0501	No	No	No	NA	No	Yes		Ishariati@doc.gov	Level 3	
SA13016NCO104	FFP	Yes	8/7/2006	9/28/2006	9/27/2007	0.0529	No	No	No	NA	No	Yes		Ishariati@doc.gov	Level 3	
DG-1330-07-CN-0325	FFP	Yes	9/21/2007	9/21/2007	9/16/2008	0.4821	No	No	Yes	NA	No	Yes		Sharon.Balilea@noaa.gov	Level 3	
DG133007CN0325	FFP	Yes	9/17/2007	9/17/2007	9/17/2008	0.4821	No	Yes	No	NA	No	Yes		morie.gunter-henderson@noaa.gov	Level 3	

2. If earned value is not required or will not be a contract requirement for any of the contracts or task orders above, explain why:

Annual Vendor Software Maintenance on Row Numbers 1-3.

3. Do the contracts ensure Section 508 compliance? Yes

a. Explain why not or how this is being done? Applicable Section 508 standards: 1194.21 & 1194.22. Perform market research to determine availability, non availability, and exception. During evaluations, if applicable provisions are not met, NOAA determines if it is met via equivalent facilitation in accordance with DOC/NOAA guidance and in consultation with NOAA Section 508 Coordinator/Working Group members. If CO determines services/products do not comply, contractor will be notified in writing to repair non-compliant service/product.

4. Is there an acquisition plan which reflects the requirements of FAR Subpart 7.1 and has been approved in accordance with agency requirements? Yes

a. If "yes," what is the date? 4/27/1998

1. Is it Current?

b. If "no," will an acquisition plan be developed?

1. If "no," briefly explain why:

**Section D: Performance Information (All Capital Assets)**

In order to successfully address this area of the exhibit 300, performance goals must be provided for the agency and be linked to the annual performance plan. The investment must discuss the agency's mission and strategic goals, and performance measures (indicators) must be provided. These goals need to map to the gap in the agency's strategic goals and objectives this investment is designed to fill. They are the internal and external performance benefits this investment is expected to deliver to the agency (e.g., improve efficiency by 60 percent, increase citizen participation by 300 percent a year to achieve an overall citizen participation rate of 75 percent by FY 2xxx, etc.). The goals must be clearly measurable investment outcomes, and if applicable, investment outputs. They do not include the completion date of the module, milestones, or investment, or general goals, such as, significant, better, improved that do not have a quantitative or qualitative measure.

Agencies must use the following table to report performance goals and measures for the major investment and use the Federal Enterprise Architecture (FEA) Performance Reference Model (PRM). Map all Measurement Indicators to the corresponding "Measurement Area" and "Measurement Grouping" identified in the PRM. There should be at least one Measurement Indicator for each of the four different Measurement Areas (for each fiscal year). The PRM is available at www.egov.gov. The table can be extended to include performance measures for years beyond the next President's Budget.

Performance Information Table								
Fiscal Year	Strategic Goal(s) Supported	Measurement Area	Measurement Category	Measurement Grouping	Measurement Indicator	Baseline	Target	Actual Results
2006	4.1 Management Integration Goal: Achieve Organizational and Management Excellence.	Customer Results	Service Accessibility	Access	All NOAA users who need access to the Integrated Travel Manager (ITM) system are able to connect to the ITM system.	100% of users that require ITM access are able to connect to the system.	If 100% level is attained, goal is to maintain this level of service.	99.99%
2006	4.1 Management Integration Goal: Achieve Organizational and Management Excellence.	Customer Results	Service Accessibility	Access	All NOAA users who need access to the Integrated Travel Manager - Relocation (PCS) system are able to connect to the PCS system.	100% of users that require PCS access are able to connect to the system.	If 100% level is attained, goal is to maintain this level of service.	99.99%
2006	4.1 Management Integration Goal: Achieve Organizational and Management Excellence.	Mission and Business Results	Financial Management	Accounting	NOAA PCS users receive the technical and functional support that they require to complete the necessary relocation paperwork.	At least 95% of the time, NOAA PCS users receive the support that they require on their initial request.	At least 100% of the time, NOAA PCS users receive the support that they require on their initial request.	95%
2007	4.1 Management Integration Goal: Achieve Organizational and Management	Customer Results	Service Accessibility	Access	All NOAA users who need access to the Integrated Travel Manager (ITM) system are able to	100% of users that require ITM access are able to connect to the system.	If 100% level is attained, goal is to maintain this level of service.	100%

Exhibit 300: NOAA/OCIO/ NOAA Non-Core CBS Financial Management System (Revision 19)

Performance Information Table								
Fiscal Year	Strategic Goal(s) Supported	Measurement Area	Measurement Category	Measurement Grouping	Measurement Indicator	Baseline	Target	Actual Results
	Excellence.				connect to the ITM system.			
2007	4.1 Management Integration Goal: Achieve Organizational and Management Excellence.	Customer Results	Service Accessibility	Access	All NOAA users who need access to the Integrated Travel Manager - Relocation (PCS) system are able to connect to the PCS system.	100% of users that require PCS access are able to connect to the system.	If 100% level is attained, goal is to maintain this level of service.	100%
2007	4.1 Management Integration Goal: Achieve Organizational and Management Excellence.	Processes and Activities	Quality	Errors	The number of errors found on PCS documentation.	No more than 2 errors per set of submitted PCS documentation.	At least a 30% annual reduction in the number of PCS documentation errors.	Less than 2 per set of documentation
2007	4.1 Management Integration Goal: Achieve Organizational and Management Excellence.	Technology	Financial (Technology)	Operations and Maintenance Costs	Adequate hardware is operational to process all PCS transactions at the users request.	Hardware is operational and available 99% of the time to facilitate all PCS transactions.	PCS system operational time improves by at least 10% per quarter.	99%
2008	4.1 Management Integration Goal: Achieve Organizational and Management Excellence.	Customer Results	Service Accessibility	Access	All NOAA users who need access to the Integrated Travel Manager (ITM) system are able to connect to the ITM system.	100% of users that require ITM access are able to connect to the system.	If 100% level is attained, goal is to maintain this level of service.	
2008	4.1 Management Integration Goal: Achieve Organizational and Management Excellence.	Customer Results	Service Accessibility	Access	All NOAA users who need access to the Integrated Travel Manager - Relocation (PCS) system are able to connect to the PCS system.	100% of users that require PCS access are able to connect to the system.	If 100% level is attained, goal is to maintain this level of service.	
2008	4.1 Management Integration Goal: Achieve Organizational and Management Excellence.	Processes and Activities	Financial (Processes and Activities)	Financial Management	A sampling and analysis of NOAA PCS user documentation will determine the rate of errors.	At least 95% of PCS transactions are completed according to relocation regulations.	The PCS error rate is reduced every year by at least 10%.	
2008	4.1 Management Integration Goal: Achieve Organizational and Management Excellence.	Technology	Financial (Technology)	Overall Costs	2 Factor Authentication is implemented as part of the eTravel Solution.	All online years connect to the new eTravel solution using 2 Factor Authentication.	No users are able to connect without authenticating using a 2 factor solution.	
2008	4.1 Management Integration Goal: Achieve Organizational and Management Excellence.	Technology	Financial (Technology)	Overall Costs	ITM is migrated to the Department e-Gov solution as a web-based application.	10% of ITM users are migrated to the eTravel solution by Q4 FY08.	100% of all users are migrated by end of FY10.	
2009	4.1 Management Integration Goal: Achieve Organizational and Management Excellence.	Customer Results	Service Accessibility	Access	All NOAA users who need access to the Integrated Travel Manager (ITM) system are able to connect to the ITM system.	100% of users that require ITM access are able to connect to the system.	If 100% level is attained, goal is to maintain this level of service.	
2009	4.1 Management Integration Goal: Achieve Organizational and Management Excellence.	Customer Results	Service Accessibility	Access	All NOAA users who need access to the Integrated Travel Manager - Relocation (PCS) system are able to connect to the PCS system.	100% of users that require PCS access are able to connect to the system.	If 100% level is attained, goal is to maintain this level of service.	
2009	4.1 Management Integration Goal: Achieve Organizational and Management	Processes and Activities	Financial (Processes and Activities)	Financial Management	A sampling and analysis of NOAA PCS user documentation will determine the rate of	At least 95% of PCS transactions are completed according to relocation regulations.	The PCS error rate is reduced every year by at least 10%.	

Exhibit 300: NOAA/OCIO/ NOAA Non-Core CBS Financial Management System (Revision 19)

Performance Information Table								
Fiscal Year	Strategic Goal(s) Supported	Measurement Area	Measurement Category	Measurement Grouping	Measurement Indicator	Baseline	Target	Actual Results
	Excellence.				errors.			
2009	4.1 Management Integration Goal: Achieve Organizational and Management Excellence.	Technology	Financial (Technology)	Overall Costs	ITM is migrated to the Department e-Gov solution as a web-based application.	80% of ITM users are migrated to the eTravel solution by Q4 FY09.	100% of all users are migrated by end of FY10.	
2010	4.1 Management Integration Goal: Achieve Organizational and Management Excellence.	Customer Results	Service Accessibility	Access	All NOAA users who need access to the Integrated Travel Manager (ITM) system are able to connect to the ITM system.	100% of users that require ITM access are able to connect to the system.	If 100% level is attained, goal is to maintain this level of service.	
2010	4.1 Management Integration Goal: Achieve Organizational and Management Excellence.	Customer Results	Service Accessibility	Access	All NOAA users who need access to the Integrated Travel Manager - Relocation (PCS) system are able to connect to the PCS system.	100% of users that require PCS access are able to connect to the system.	If 100% level is attained, goal is to maintain this level of service.	
2010	4.1 Management Integration Goal: Achieve Organizational and Management Excellence.	Customer Results	Service Accessibility	Access	All NOAA users who need access to the NOAA Data Warehouse (DW) are able to connect and generate DW standard reports.	100% of users that require DW access are able to connect and generate reports.	If 100% level is attained, goal is to maintain this level of service.	
2010	4.1 Management Integration Goal: Achieve Organizational and Management Excellence.	Customer Results	Service Accessibility	Access	All NOAA users who need access to the NOAA Data Warehouse (DW) via Oracle Discoverer are able to connect and execute queries/reports.	100% of users that require DW access via Oracle Discoverer are able to connect and execute queries/reports.	If 100% level is attained, goal is to maintain this level of service.	
2010	4.1 Management Integration Goal: Achieve Organizational and Management Excellence.	Processes and Activities	Financial (Processes and Activities)	Financial Management	A sampling and analysis of NOAA PCS user documentation will determine the rate of errors.	At least 95% of PCS transactions are completed according to relocation regulations.	The PCS error rate is reduced every year by at least 10%.	
2010	4.1 Management Integration Goal: Achieve Organizational and Management Excellence.	Technology	Financial (Technology)	Overall Costs	ITM is migrated to the Department e-Gov solution as a web-based application.	80% of ITM users are migrated to the eTravel solution by Q4 FY09.	100% of all users are migrated by end of FY10.	

**Section E: Security and Privacy (IT Capital Assets only)**

8. Planning & Operational Systems - Privacy Table:					
(a) Name of System	(b) Is this a new system? (Y/N)	(c) Is there at least one Privacy Impact Assessment (PIA) which covers this system? (Y/N)	(d) Internet Link or Explanation	(e) Is a System of Records Notice (SORN) required for this system? (Y/N)	(f) Internet Link or Explanation
NOAA Non-Core CBS Financial Management System	No	Yes	<a href="http://www.cio.noaa.gov/itmanagement/PIA-NOAA_Non-CoreCBS_final_appvd_08-28-07.doc">http://www.cio.noaa.gov/itmanagement/PIA-NOAA_Non-CoreCBS_final_appvd_08-28-07.doc</a>	No	No because the system is not a Privacy Act system of records.
<p><b>Details for Text Options:</b></p> <p>Column (d): If yes to (c), provide the link(s) to the publicly posted PIA(s) with which this system is associated. If no to (c), provide an explanation why the PIA has not been publicly posted or why the PIA has not been conducted.</p> <p>Column (f): If yes to (e), provide the link(s) to where the current and up to date SORN(s) is published in the federal register. If no to (e), provide an explanation why the SORN has not been published or why there isn't a current and up to date SORN.</p> <p>Note: Working links must be provided to specific documents not general privacy websites. Non-working links will be considered as a blank field.</p>					

**Section F: Enterprise Architecture (EA) (IT Capital Assets only)**

In order to successfully address this area of the capital asset plan and business case, the investment must be included in the agency's EA and Capital Planning and Investment Control (CPIC) process and mapped to and supporting the FEA. The business case must demonstrate the relationship between the investment and the business, performance, data, services, application, and technology layers of the agency's EA.

1. Is this investment included in your agency's target enterprise architecture? Yes  
 a. If "no," please explain why?

2. Is this investment included in the agency's EA Transition Strategy? No  
 a. If "yes," provide the investment name as identified in the Transition Strategy provided in the agency's most recent annual EA Assessment.  
 b. If "no," please explain why?

The Integrated Travel Manager application is scheduled to be replaced by the Department's eTravel initiative at the end of FY10. Upon implementation of e-Travel, this system will be decommissioned.

3. Is this investment identified in a completed and approved segment architecture? No  
 a. If "yes," provide the six digit code corresponding to the agency segment architecture. The segment architecture codes are maintained by the agency Chief Architect. For detailed guidance regarding segment architecture codes, please refer to <http://www.egov.gov>.

**4. Service Component Reference Model (SRM) Table:**  
 Identify the service components funded by this major IT investment (e.g., knowledge management, content management, customer relationship management, etc.). Provide this information in the format of the following table. For detailed guidance regarding components, please refer to <http://www.egov.gov>.

Agency Component Name	Agency Component Description	FEA SRM Service Domain	FEA SRM Service Type	FEA SRM Component (a)	Service Component Reused Name (b)	Service Component Reused UPI (b)	Internal or External Reuse? (c)	BY Funding Percentage (d)
MS-ITS CBS	The Department's CBS application suite as implemented by NOAA is tracked by the DOC CSC for OMB 300 purposes.	Back Office Services	Asset / Materials Management	Asset Cataloging / Identification	Expense Management		No Reuse	100
MS-ITS NOAA Administrative Systems	This capability provides IT support for NOAA's administrative processes including Grants, Finance, and Procurement. These services include: operation of the Information Technology Center.	Back Office Services	Asset / Materials Management	Computers / Automation Management	Computers / Automation Management	006-48-01-01-01-3801-00	Internal	0

a. Use existing SRM Components or identify as "NEW". A "NEW" component is one not already identified as a service component in the FEA SRM.

b. A reused component is one being funded by another investment, but being used by this investment. Rather than answer yes or no, identify the reused service component funded by the other investment and identify the other investment using the Unique Project Identifier (UPI) code from the OMB Ex 300 or Ex 53 submission.

c. 'Internal' reuse is within an agency. For example, one agency within a department is reusing a service component provided by another agency within the same department. 'External' reuse is one agency within a department reusing a service component provided by another agency in another department. A good example of this is an E-Gov initiative service being reused by multiple organizations across the federal government.

d. Please provide the percentage of the BY requested funding amount used for each service component listed in the table. If external, provide the percentage of the BY requested funding amount transferred to another agency to pay for the service. The percentages in the column can, but are not required to, add up to 100%.

Exhibit 300: NOAA/OCIO/ NOAA Non-Core CBS Financial Management System (Revision 19)

<b>5. Technical Reference Model (TRM) Table:</b>				
To demonstrate how this major IT investment aligns with the FEA Technical Reference Model (TRM), please list the Service Areas, Categories, Standards, and Service Specifications supporting this IT investment.				
<b>FEA SRM Component (a)</b>	<b>FEA TRM Service Area</b>	<b>FEA TRM Service Category</b>	<b>FEA TRM Service Standard</b>	<b>Service Specification (b) (i.e., vendor and product name)</b>
Expense Management	Service Interface and Integration	Interoperability	Data Format / Classification	DOC CSC
Computers / Automation Management	Service Platform and Infrastructure	Hardware / Infrastructure	Servers / Computers	ITC

a. Service Components identified in the previous question should be entered in this column. Please enter multiple rows for FEA SRM Components supported by multiple TRM Service Specifications

b. In the Service Specification field, agencies should provide information on the specified technical standard or vendor product mapped to the FEA TRM Service Standard, including model or version numbers, as appropriate.

6. Will the application leverage existing components and/or applications across the Government (i.e., USA.gov, Pay.Gov, etc)? No

**Exhibit 300: Part III: For "Operation and Maintenance" investments ONLY (Steady State)****Section A: Risk Management (All Capital Assets)**

Part III should be completed only for investments identified as "Operation and Maintenance" (Steady State) in response to Question 6 in Part I, Section A above.

You should have performed a risk assessment during the early planning and initial concept phase of this investment's life-cycle, developed a risk-adjusted life-cycle cost estimate and a plan to eliminate, mitigate or manage risk, and be actively managing risk throughout the investment's life-cycle.

1. Does the investment have a Risk Management Plan? Yes
  - a. If "yes," what is the date of the plan? 6/29/2007
  - b. Has the Risk Management Plan been significantly changed since last year's submission to OMB? No
  - c. If "yes," describe any significant changes:
  
2. If there currently is no plan, will a plan be developed?
  - a. If "yes," what is the planned completion date?
  - b. If "no," what is the strategy for managing the risks?

**Section B: Cost and Schedule Performance (All Capital Assets)**

1. Was an operational analysis conducted? Yes
  - a. If "yes," provide the date the analysis was completed. 12/17/2007
  - b. If "yes," what were the results?

Services are provided in accordance with established directives, operational policies, and user defined requirements. This report focuses on the operational state of the program as of December 31, 2007, and is based on guidance developed by the Department of Commerce.

This operational analysis (OA) addresses the continuing systems support and services provided by the non-core CBS financial systems modules, which includes Permanent Change of Station and Integrated Travel Manager and is an annual, in-depth review of the program's performance based on the following:

- Customer Results
- Strategic and Business Results
- Financial Performance
- Innovation

The Finance Office operates the Non-Core CBS Financial Management System (PCS) and users include the NOAA financial and administrative program support community, users in the Commerce Bureau of Industry and Security (BIS), and financial and administrative users throughout NOAA. The primary requirement is to meet the needs of end-users and NOAA Management for accurate, timely processing and payment of travel authorizations, vouchers, local travel claims, and relocation vouchers. The total number of documents processed during FY 2007 was 103,722, with over 99% of those processed by end-users.

The NOAA Non-Core CBS Financial Management System (PCS) directly facilitates NOAA's Strategic Goals and meets established cost, schedule and performance parameters. Program management and controls are in place to ensure the program continues to meet its goals and objectives and monitor performance. Innovations to are explored to meet future requirements and address challenges such as issues with Personally Identifiable Information (PII), travel obligations, and migration to web-based applications. Pending Departmental implementation of an e-Travel solution, which is currently on hold, these efforts are necessary to meet customer needs, lower operating costs, and leverage technology.

- c. If "no," please explain why it was not conducted and if there are any plans to conduct operational analysis in the future:

2. Complete the following table to compare actual cost performance against the planned cost performance baseline. Milestones reported may include specific individual scheduled preventative and predictable corrective maintenance activities, or may be the total of planned annual operation and maintenance efforts).

- a. What costs are included in the reported Cost/Schedule Performance information (Government Only/Contractor Only/Both)? Contractor and Government

Exhibit 300: NOAA/OCIO/ NOAA Non-Core CBS Financial Management System (Revision 19)

2.b Comparison of Plan vs. Actual Performance Table							
Milestone Number	Description of Milestone	Planned		Actual		Variance	
		Completion Date (mm/dd/yyyy)	Total Cost(\$M)	Completion Date (mm/dd/yyyy)	Total Cost(\$M)	Schedule (# days)	Cost(\$M)
01	FY02-03: Begin implementation of NOAA Integrated Travel Manager (ITM) and Permanent Change of Station (PCS) systems.	9/30/2003	\$0.003500	9/30/2003	\$0.003500	0	\$0.000000
02	FY04: Operation of NOAA Integrated Travel Manager (ITM) and Permanent Change of Station systems	9/30/2004	\$0.001029	9/30/2004	\$0.001029	0	\$0.000000
03	FY05: Begin the implementation of the new E-Travel system across select NOAA Line Offices. Department has delayed start until FY09.	9/30/2005	\$0.000090				
04	FY05: Deployment of financial system enhancements, upgrades to current DoC technology, and completion of cross-servicing assessments.	9/30/2005	\$0.000838	9/30/2005	\$0.000838	0	\$0.000000
05	FY10: Complete the implementation of the new E-Travel system across NOAA Line and Staff Offices.	9/30/2010	\$0.000300				
06	FY06: Deployment of financial system enhancements and upgrades to current DoC technology.	9/30/2006	\$0.000628	9/30/2006	\$0.000628	0	\$0.000000
07	FY07: Enhance, maintain, and troubleshoot the Permanent Change of Station (PCS) system.	9/30/2007	\$0.000100	9/30/2007	\$0.000100	0	\$0.000000
08	FY07-08: Deployment of financial system enhancements and upgrades to current DOC technology.	9/30/2008	\$0.001400				
09	FY08: Enhance, maintain, and troubleshoot the Permanent Change of Station (PCS) system.	9/30/2008	\$0.000200				

2.b Comparison of Plan vs. Actual Performance Table

Milestone Number	Description of Milestone	Planned		Actual		Variance	
		Completion Date (mm/dd/yyyy)	Total Cost(\$M)	Completion Date (mm/dd/yyyy)	Total Cost(\$M)	Schedule (# days)	Cost(\$M)
10	FY09: Deployment of financial system enhancements and upgrades to current DOC technology.	9/30/2009	\$0.000950				
11	FY10: Enhance, maintain, and troubleshoot the non-core CBS applications for improved security and compliance with HS-PD12 integration and PII data extract logging.	9/30/2010	\$1.700000				